

Sterna Inter-calibration Study

Spectral Band Adjustment Functions

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Summary

01 RTTOV Simulations

02 SBAF Construction

03 SBAF Application

04 Errors and Error Propagation

RTTOV Simulations

Simulations: RTTOV dataset summary

“Under identical ground, atmospheric, and viewing conditions, any differences in simulated brightness temperature arise solely from variations in simulated sensor characteristics.”

Simulations generated by EUMETSAT

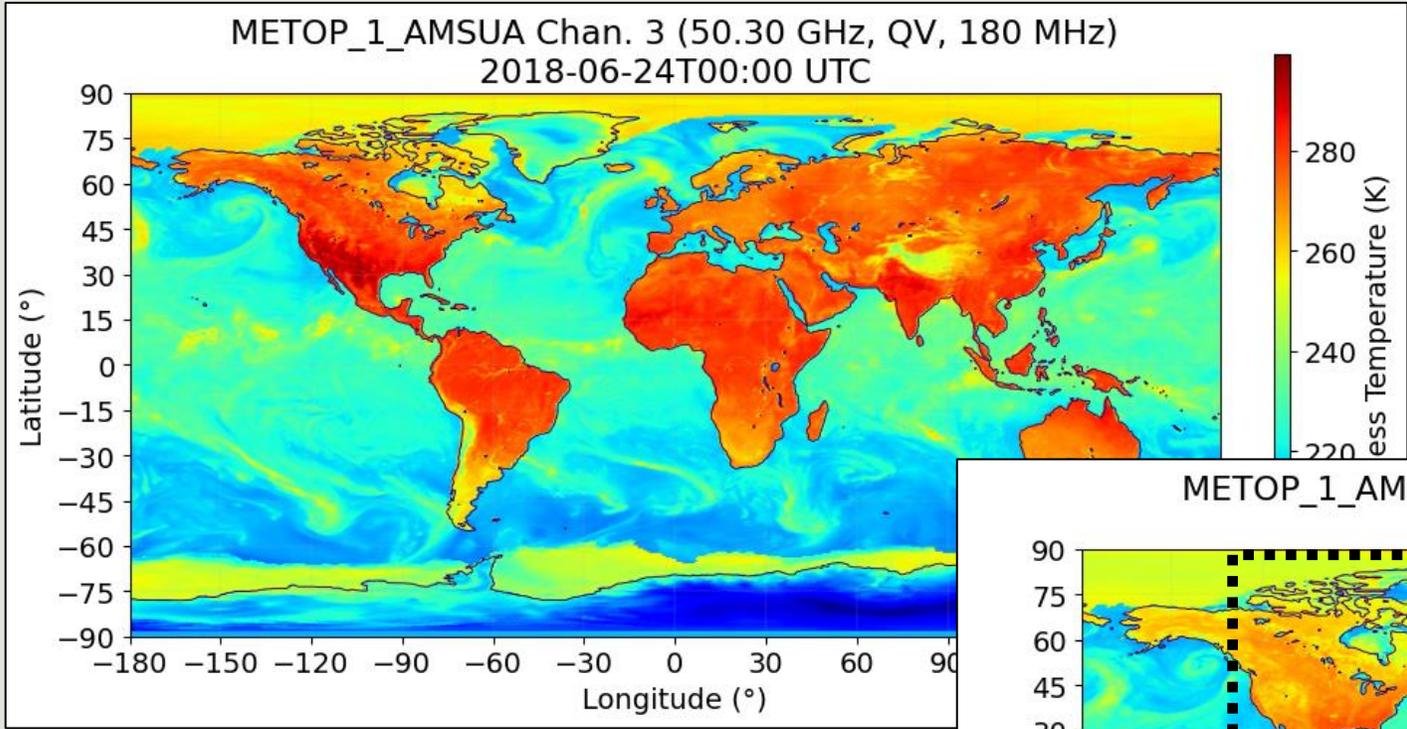
- Based on ERA5 reanalysis data
- Simulations available for clear-sky and all-sky conditions. **Clear-sky used.**
- Both **real** and **top-hat** (boxcar) spectral response functions (SRFs) were used ⁽¹⁾
- Viewing zenith angle (VZA) of 0°, and 53° to represent the ICI conical scanner geometry
- **Global** samples taken the **1st**, **12th** and **24th** of each month at **00:00 UTC** and **12:00 UTC**
- Period: **One year** starting 2018-03-01
- Total samples per channel: $\sim 5.5 \times 10^7$

Platform	Sensor	VZA (°)
MetOp-1 (= MetOp-B)	MHS	0
MetOp-1 (= MetOp-B)	AMSU-A	0
JPSS (= SNPP)	ATMS	0
AWS	AWS	0 and 53
MetOp-SG-1 (= MetOp-SG-A)	MWS	0
MetOp-SG-2 (= MetOp-SG-B)	ICI	53

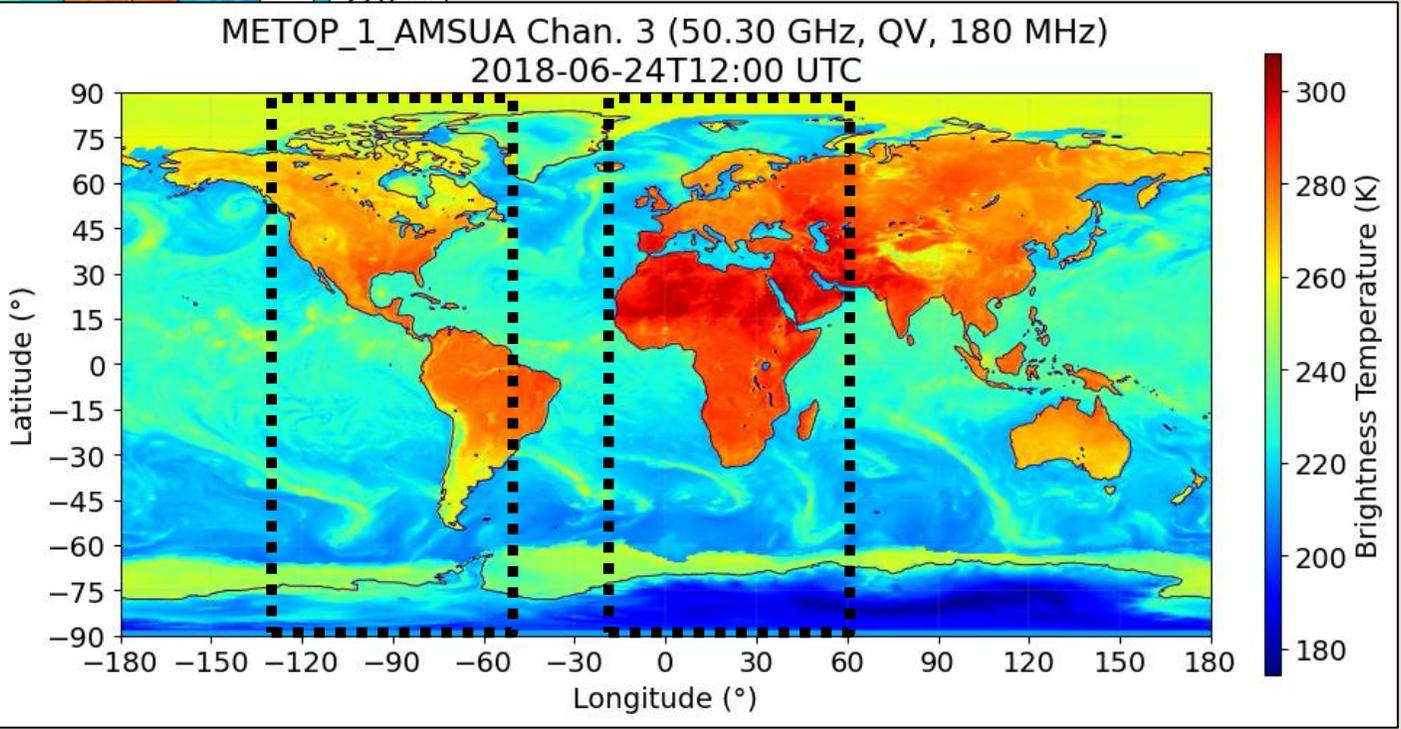
- Real SRFs used when available
- No real SRFs for MHS → *Top-hat* used
- *Top-hat* simulations **identical** for these channels:
 - ATMS-6, AMSUA-5, and MWS-6
 - ATMS-3 and MWS-3

⁽¹⁾ <https://nwp-saf.eumetsat.int/site/software/rttov/download/coefficients/spectral-response-functions/>

Simulations: RTTOV samples



RTTOV simulations for ERA5 for 2018-06-24
At 00:00 UTC and 12:00 UTC



Daily cycle-**location bias** →

Channel Equivalence: ATMS vs. AMSU-A and MHS

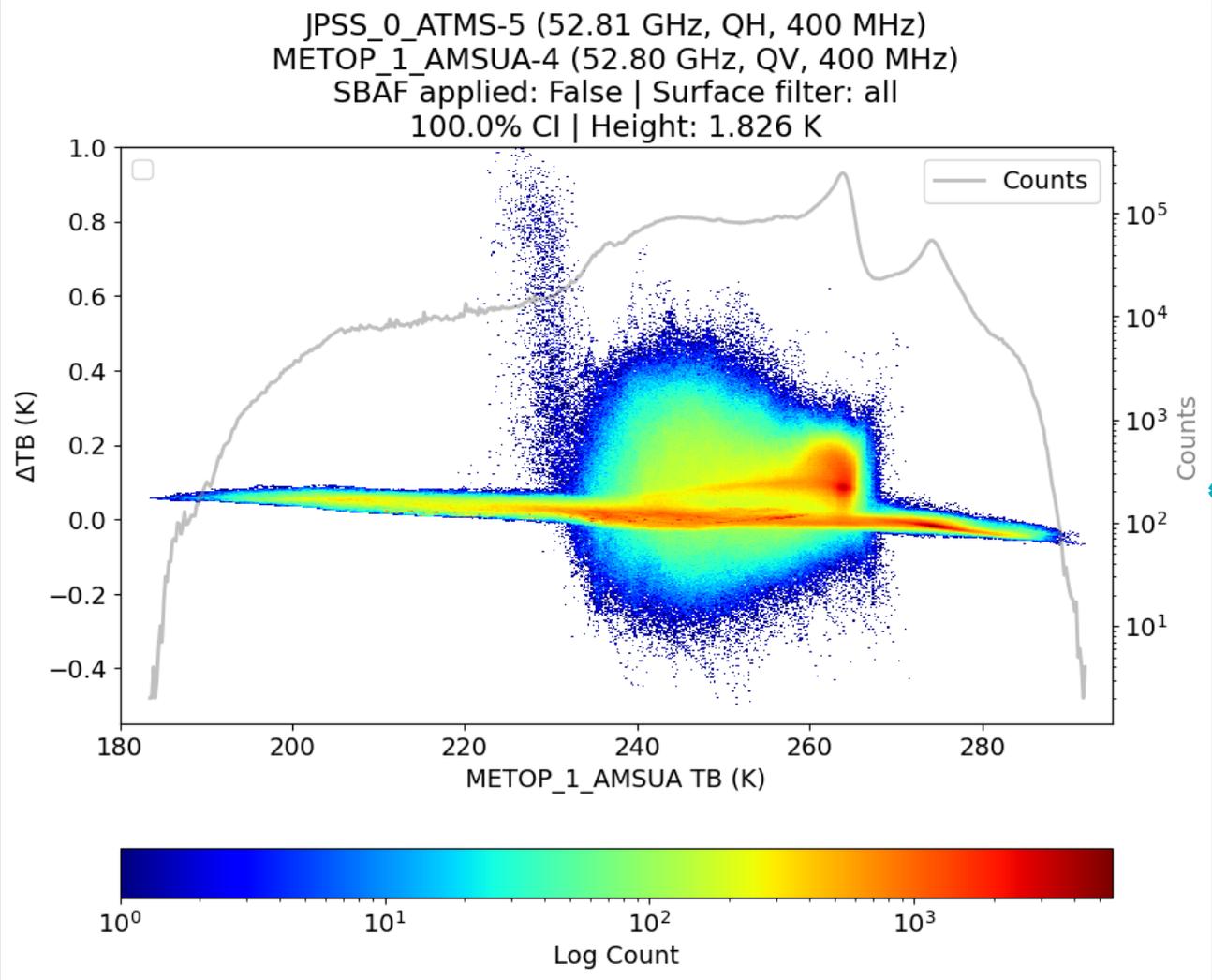
Monitored Sensor	Channel Name	Frequency [GHz]	Bandwidth [MHz]	Polarisation	Reference Sensor	Channel Name	Frequency [GHz]	Bandwidth [MHz]	Polarisation
ATMS	5	52.81	400	QH	AMSU-A	4	52.80	400	QV
ATMS	6	53.58	170	QH	AMSU-A	5	53.60	170	QH
ATMS	7	54.40	400	QH	AMSU-A	6	54.40	400	QH
ATMS	8	54.94	400	QH	AMSU-A	7	54.94	400	QV
ATMS	9	55.48	330	QH	AMSU-A	8	55.50	330	QH
ATMS	10	57.29	155	QH	AMSU-A	9	57.29	330	QH
ATMS	18	183.31	2000	QH	MHS	5	190.31	2200	QV
ATMS	20	183.31	1000	QH	MHS	4	183.31	1000	QH
ATMS	22	183.31	500	QH	MHS	3	183.31	500	QH



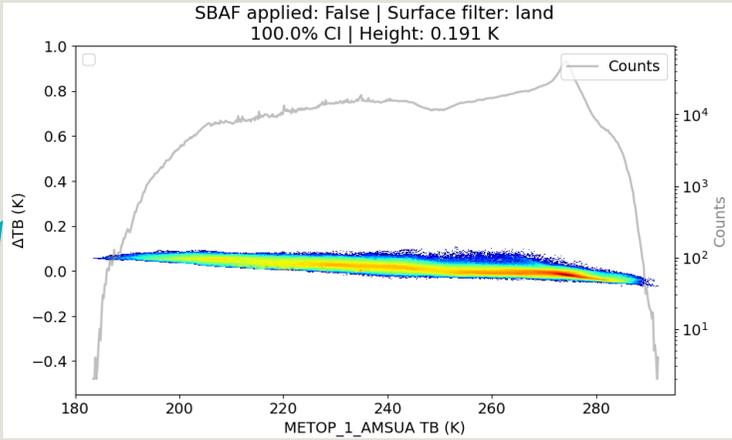
ATMS-18, worth remembering

SNPP/ATMS vs. METOP-B/AMSU-A and MHS

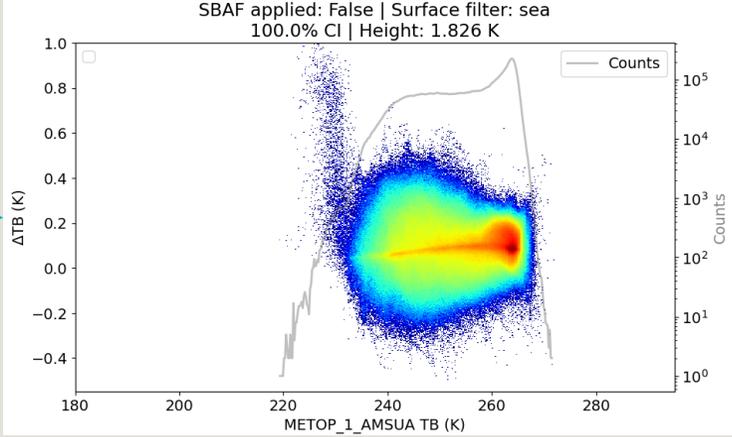
Simulations: Surface Classes I



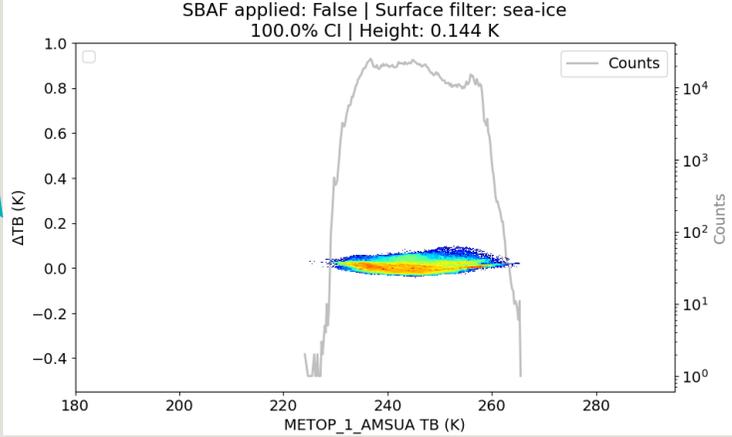
Land



Sea

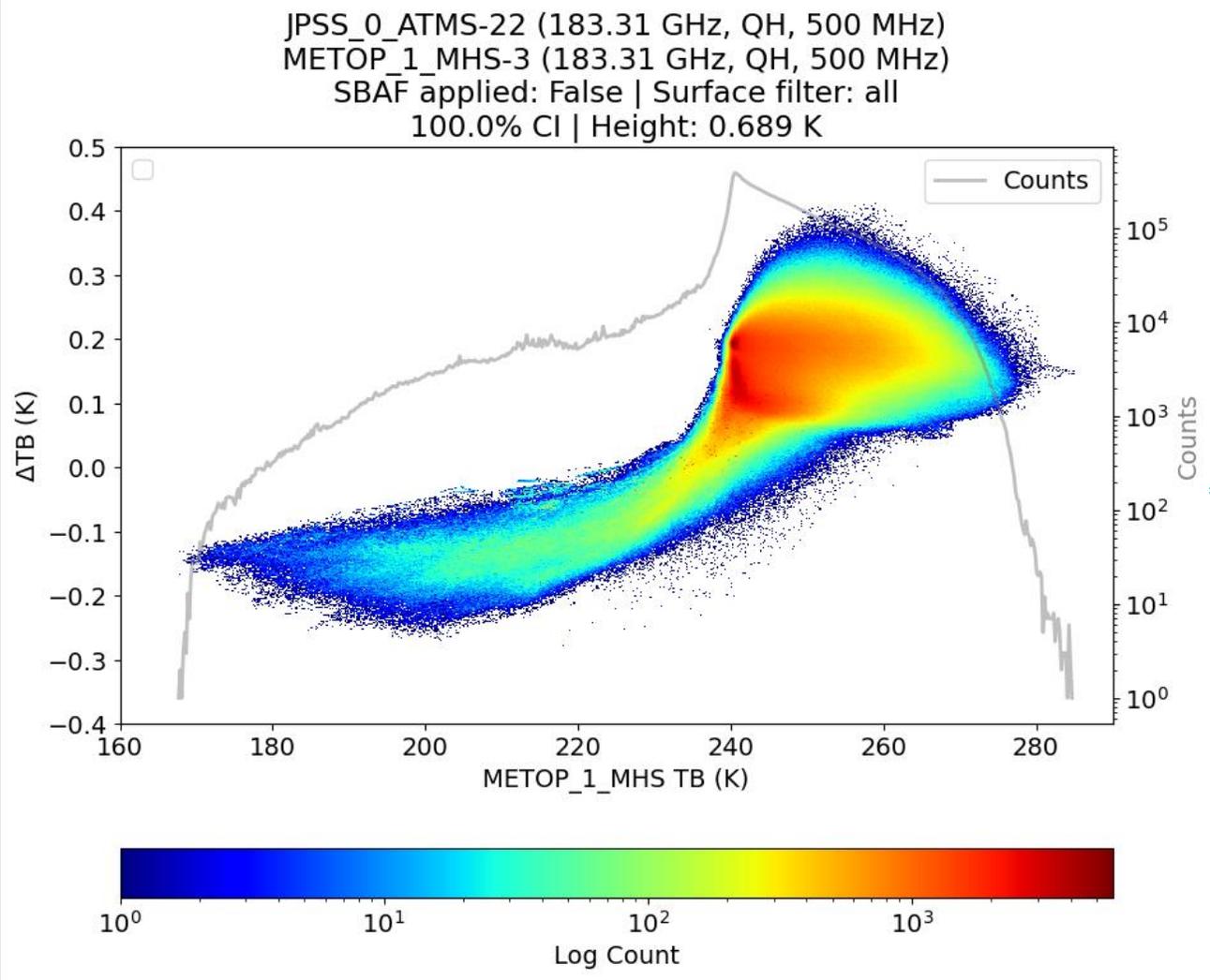


Sea-Ice



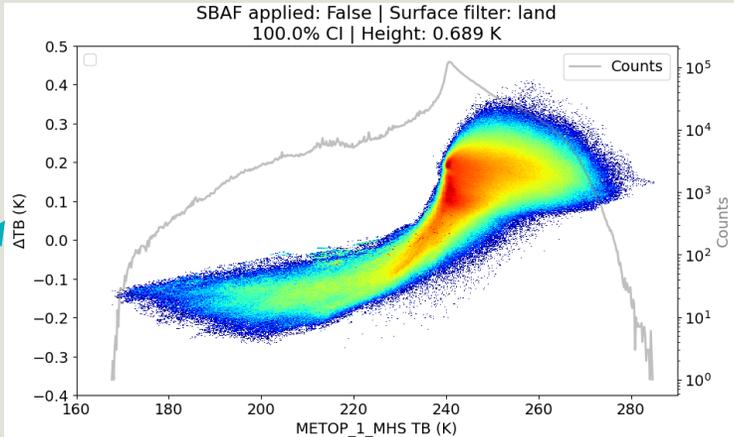
ATMS-5: Atmospheric temperature sounding channel near a window band

Simulations: Surface Classes II

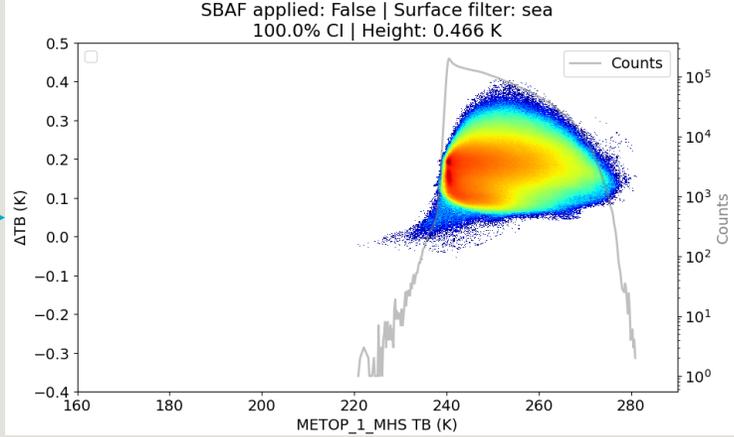


ATMS-22: Humidity sounding channel

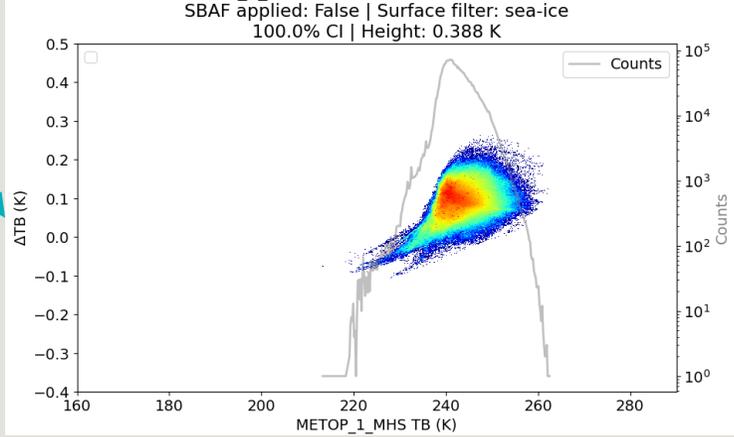
Land



Sea



Sea-Ice



SBAF Construction

CDF Matching

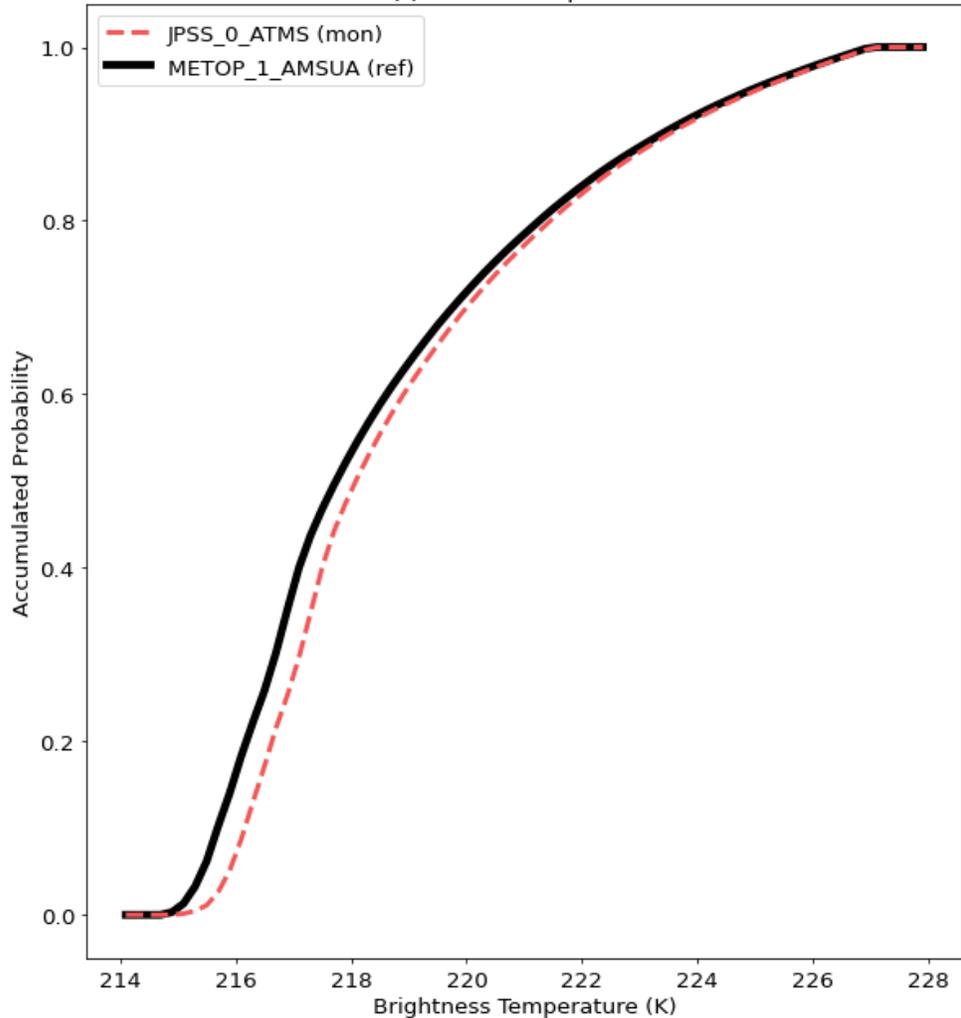
Spectral Band Adjustment Functions: Piecewise Linear

$$SBAF_{\lambda}(x) = y_i + \frac{y_{i+1} - y_i}{x_{i+1} - x_i} (x - x_i), \quad x \in [x_i, x_{i+1}), \quad i = 1, \dots, N$$

- Piecewise linear function
- Discrete and finite ranges
- $2N + 1$ parameters per range $[x_i, y_i] \ll$ Number of samples
- Not a direct Look Up Table (LUT), but a simple model

Cumulative Distribution Function (CDF) Matching

JPSS_0_ATMS-9 (55.48 GHz, QH, 330 MHz)
METOP_1_AMSUA-8 (55.50 GHz, QH, 330 MHz)
SBAF applied: False | Surface: sea

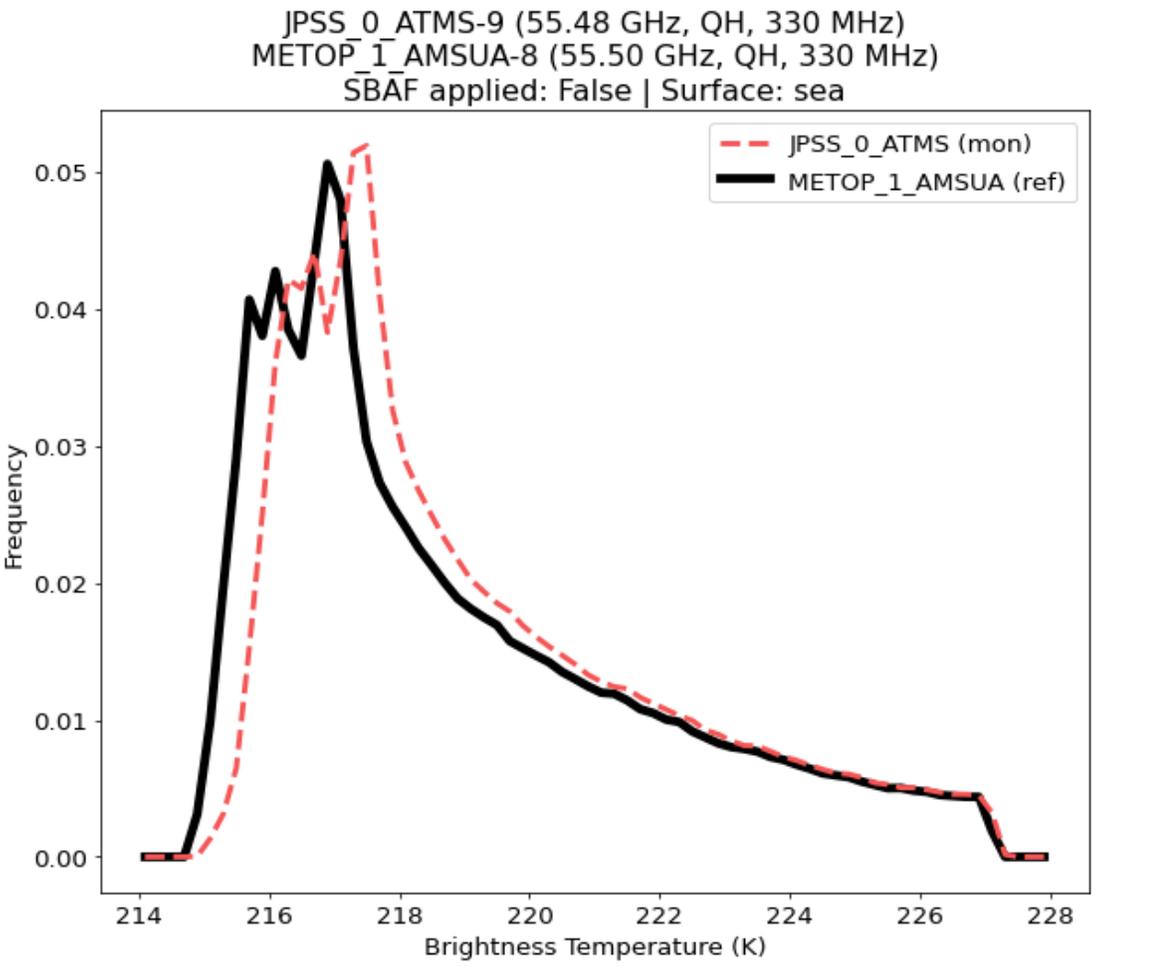


$$TB'_{mon} = \overbrace{CDF_{ref}^{-1}(CDF_{mon}(TB_{mon}))}^{SBAF}$$

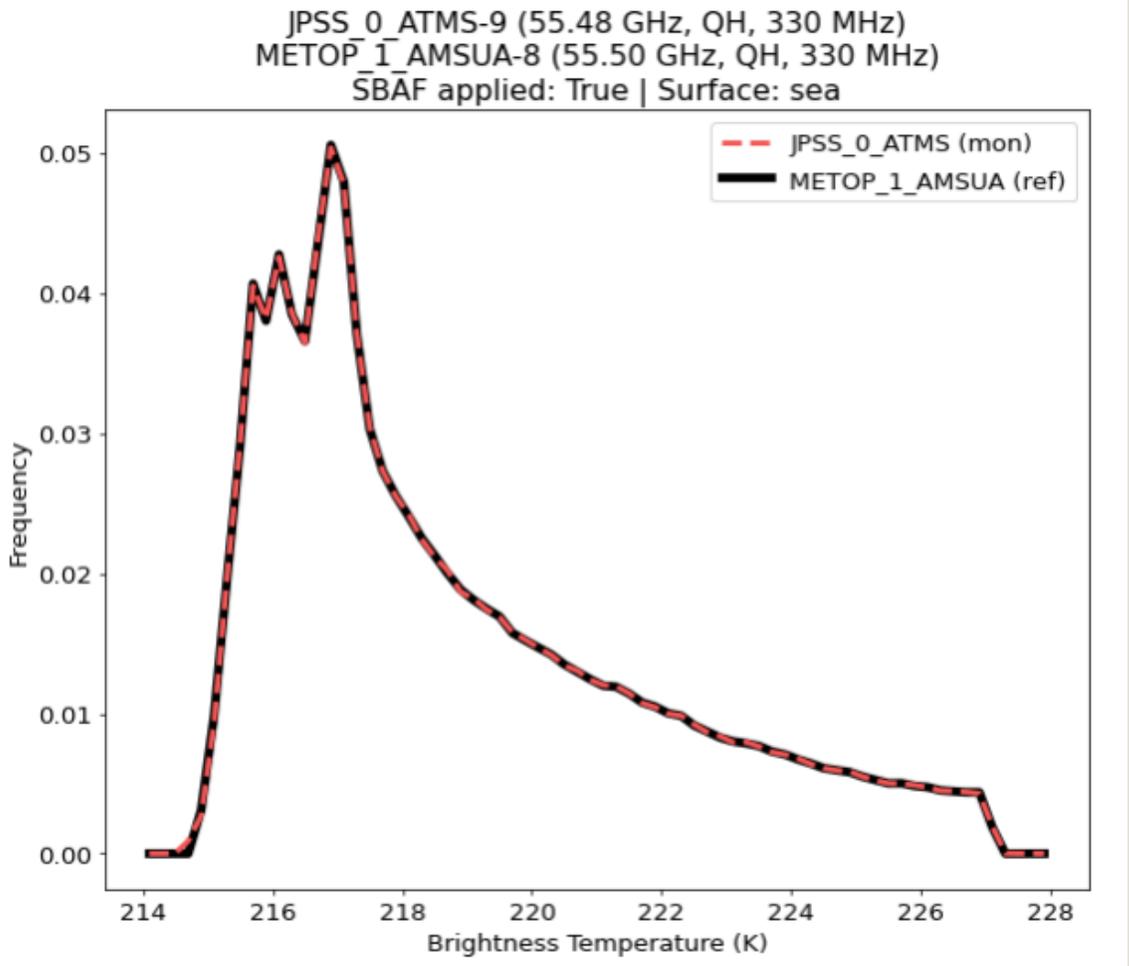
$$\Rightarrow \mathbb{E}[TB'_{mon} - TB_{ref}] = 0$$

- TB (mon, ref) pairs decoupled. Only the distribution is used
- Monotonic non-decreasing (forced increasing)
- Parametric representation (piecewise linear interpolation)
- Number of ranges: 10/100 per K (more on this later)
- Number of parameters \ll number of samples
- Ambiguity: $tb_i^{mon} \cong tb_j^{mon}$ but $tb_i^{ref} \neq tb_j^{ref}$

Cumulative Distribution Function Matching: Adjustment



$$\overline{\Delta TB} = 3.20 \times 10^{-1} \text{ K}$$



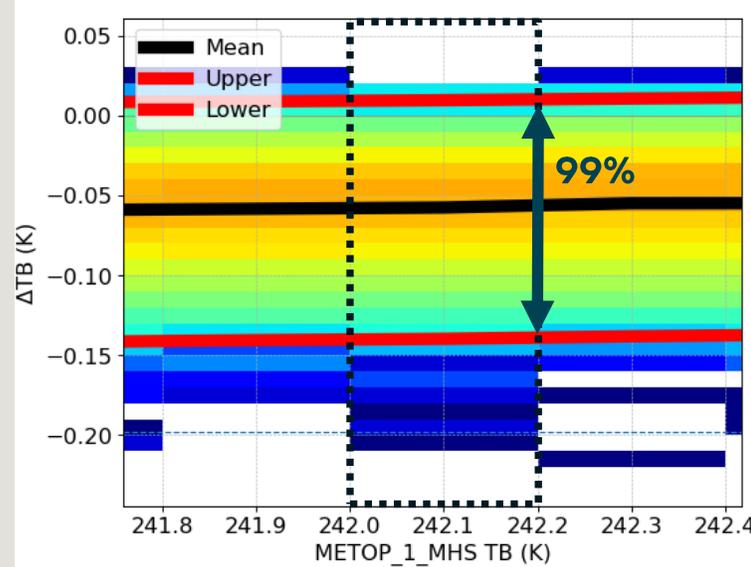
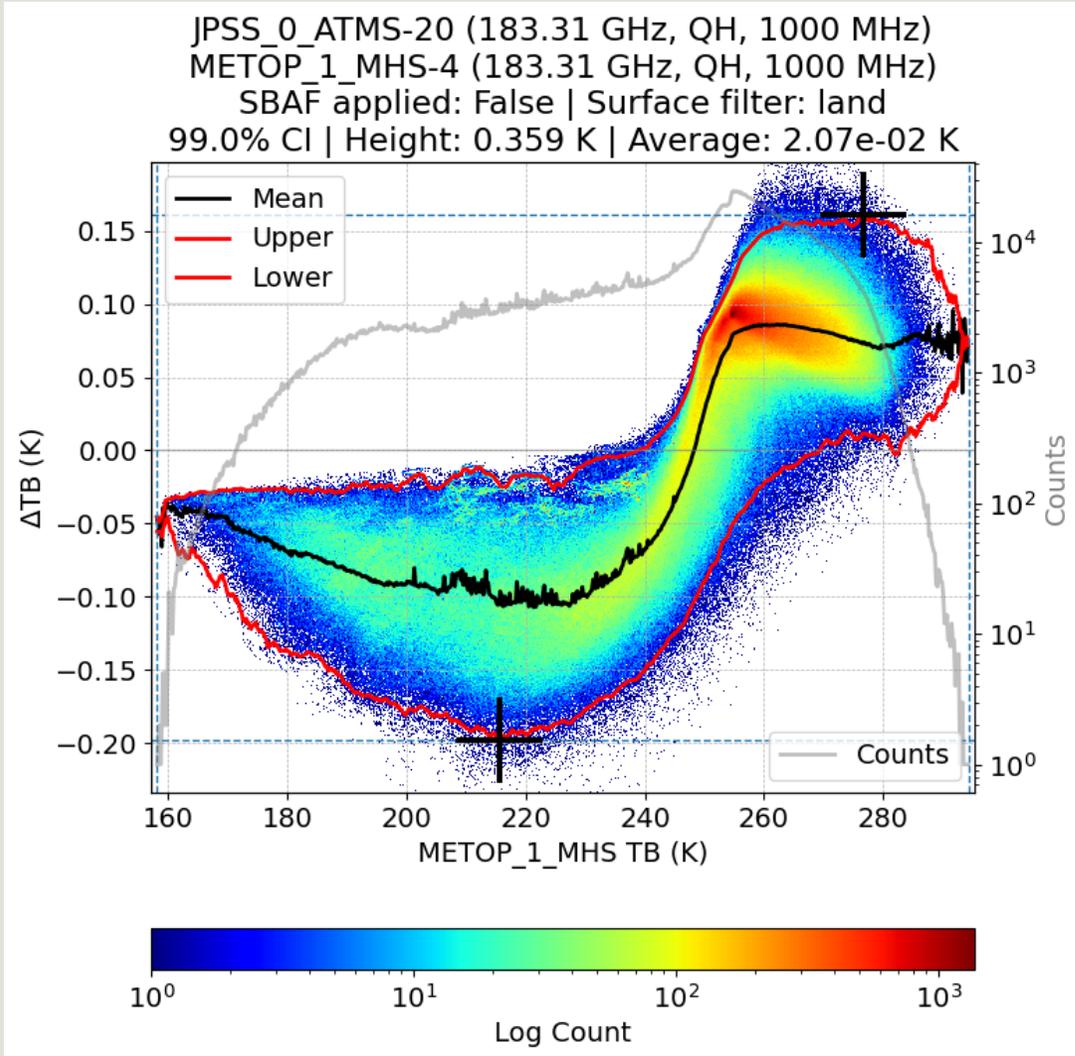
$$\overline{\Delta TB} = -1.04 \times 10^{-4} \text{ K}$$

SBAF Application

Density Heatmaps

Sample Results

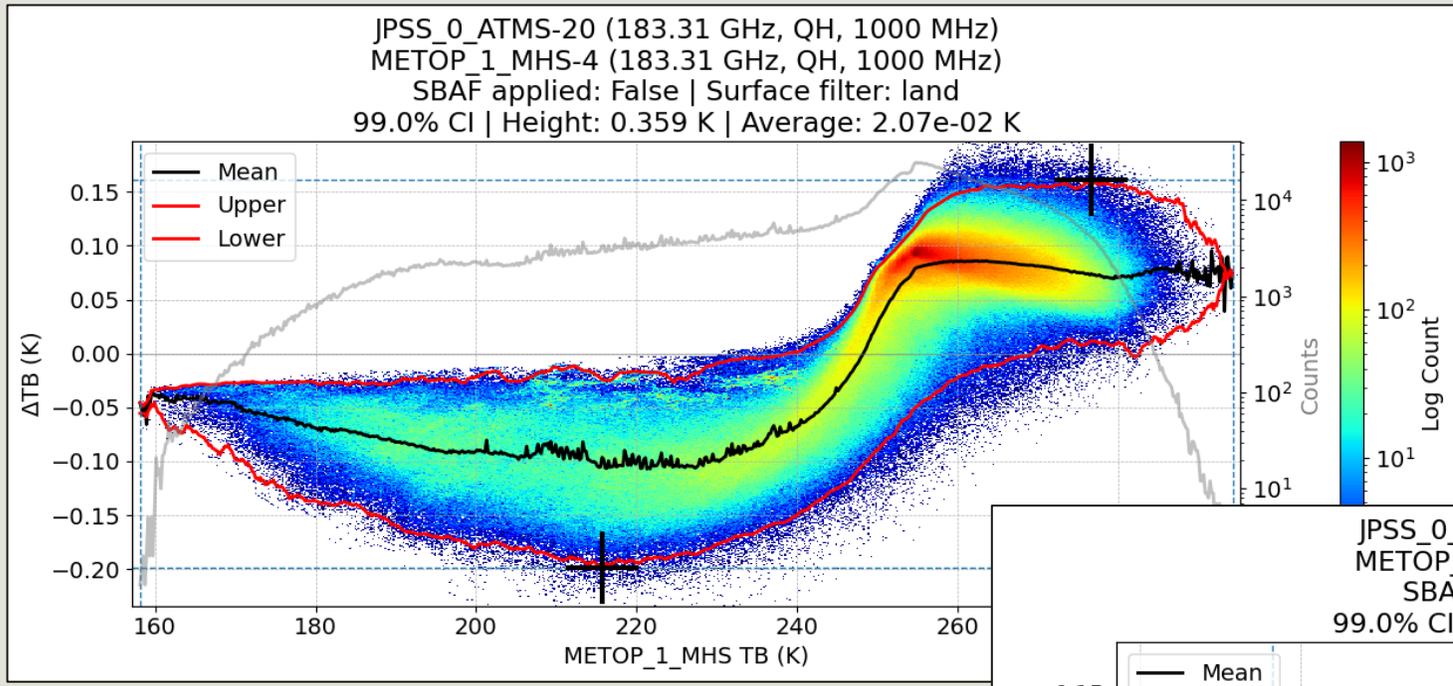
Heatmap Histogram: Description



- Discrete ΔTB vs. monitored TB rectangular bins
- Bin sizes: 0.2 K (TB) 1e-3 K (ΔTB , only meaningful for plotting)
- In plots: TB percentiles (0.5, 99.5, red solid lines) and mean (black solid line) are calculated **binwise**

- \pm Markers at minimum and maximum percentiles (0.5 and 99.5) define the binwise worst cases for the entire distribution (minimum of binwise minimums and vice-versa)
- *Height* is the ΔTB range between markers (horizontal blue dotted lines). This is larger than the 99% CI of the distribution
- Reference TB validity interval (100% in this case): Vertical blue dotted lines
- In the metrics: **The average value is global, not binwise**

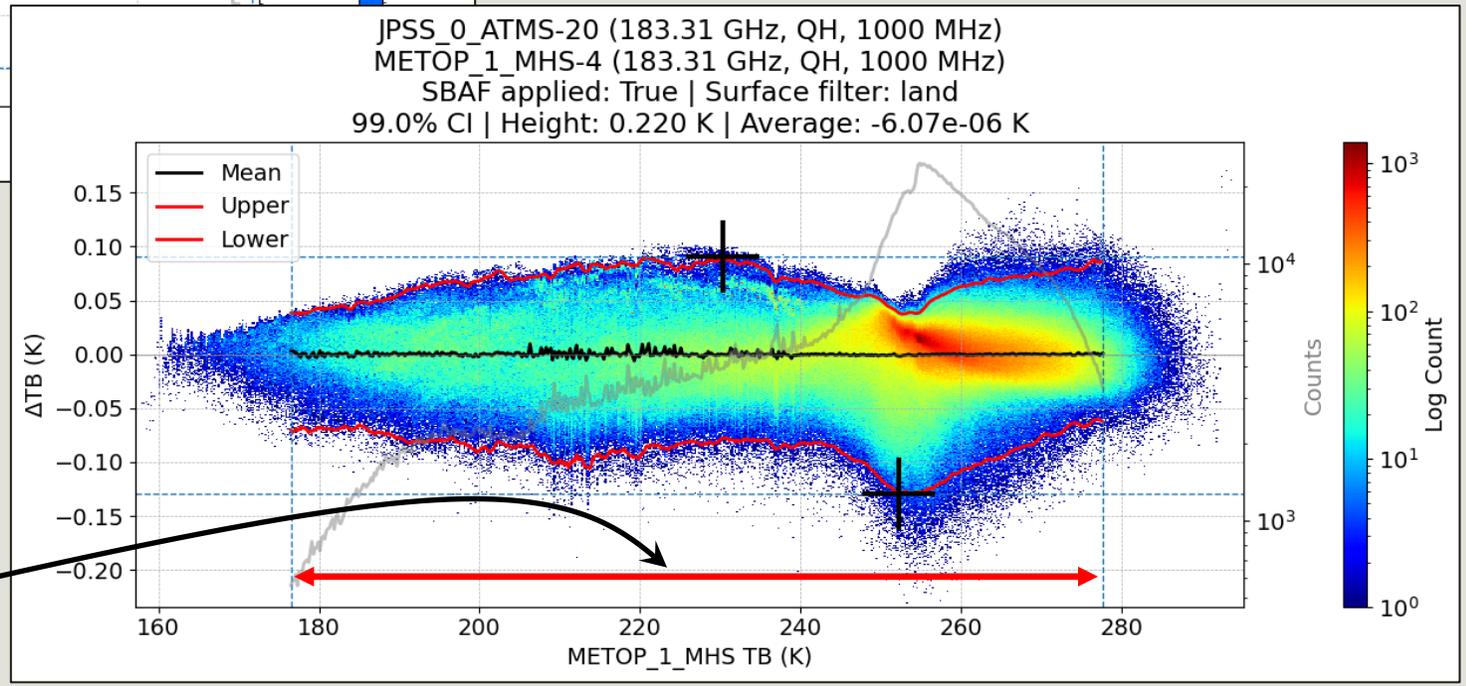
SBAF: Sample results I – Adjustment



Original

- Less heteroscedastic
- Smaller average
- Narrower CI, in general

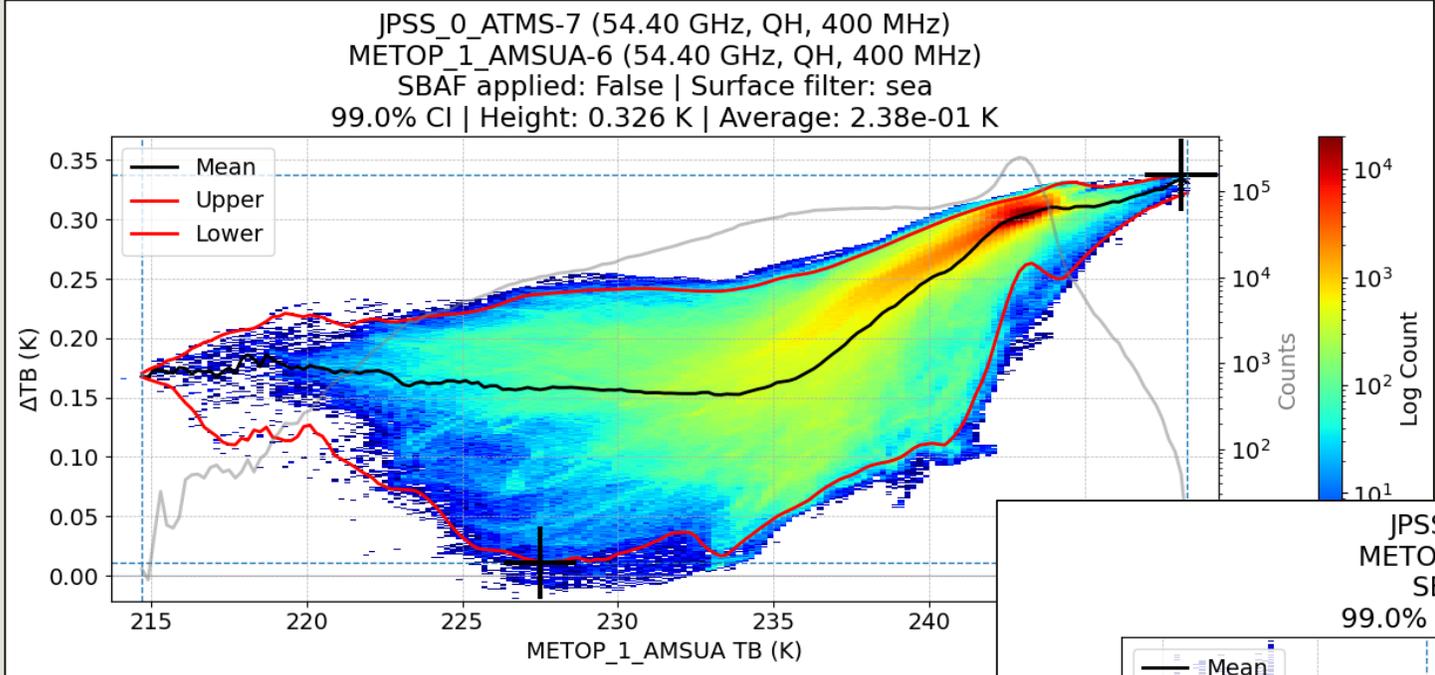
- Random dataset split 50%/50% **CDF matching/error analysis**
- All results are from the error analysis split
- Removed 0.5% lower and 0.5% higher reference TBs (validity range)



Adjusted

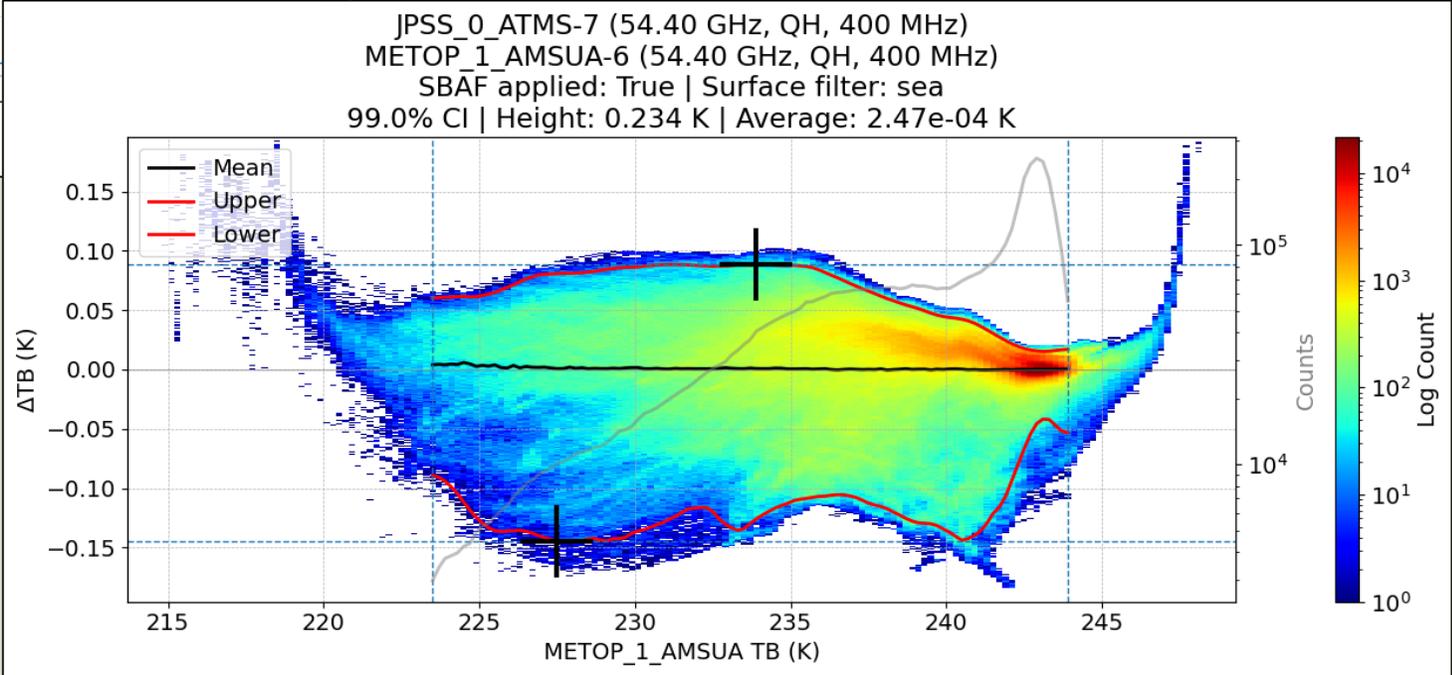
Validity range (99%)

SBAF: Sample results II – Extrapolation



Original

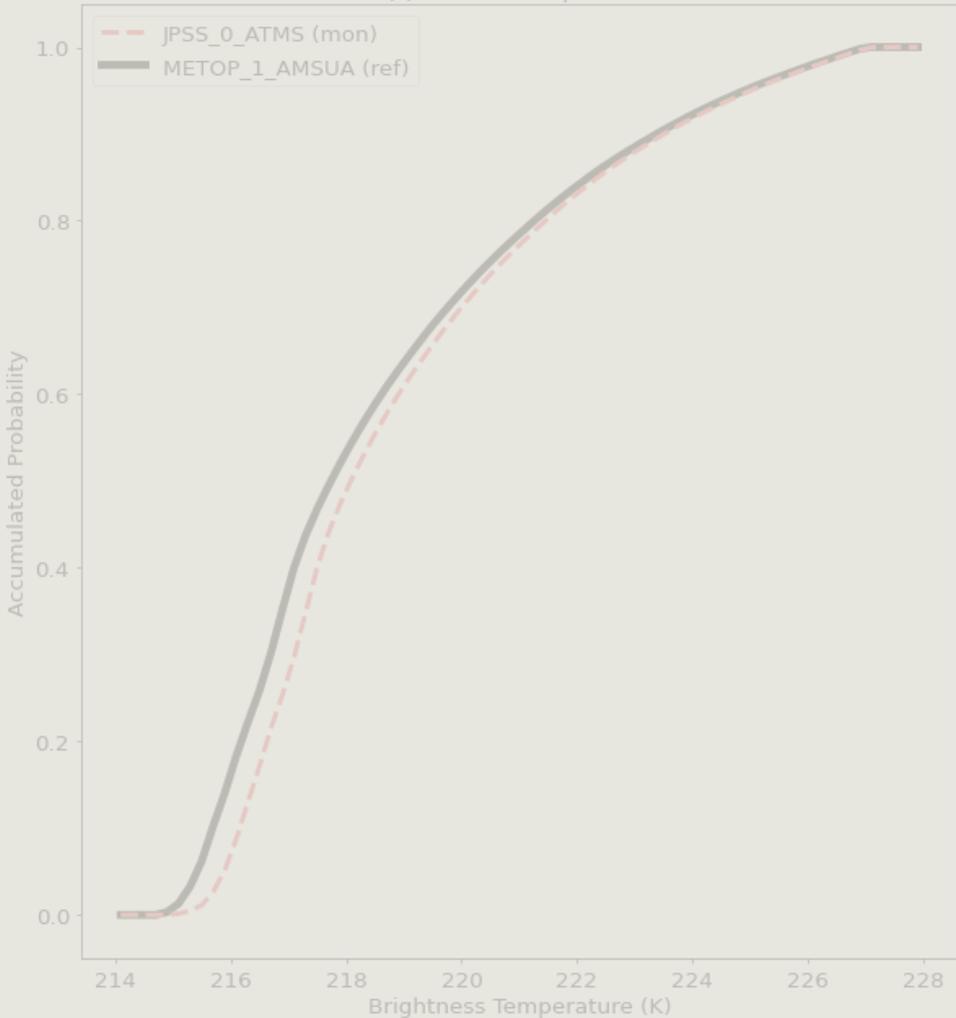
No extrapolatable, in general



Adjusted

CDF Matching: Fixed-size bins

JPSS_0_ATMS-9 (55.48 GHz, QH, 330 MHz)
METOP_1_AMSUA-8 (55.50 GHz, QH, 330 MHz)
SBAF applied: False | Surface: sea

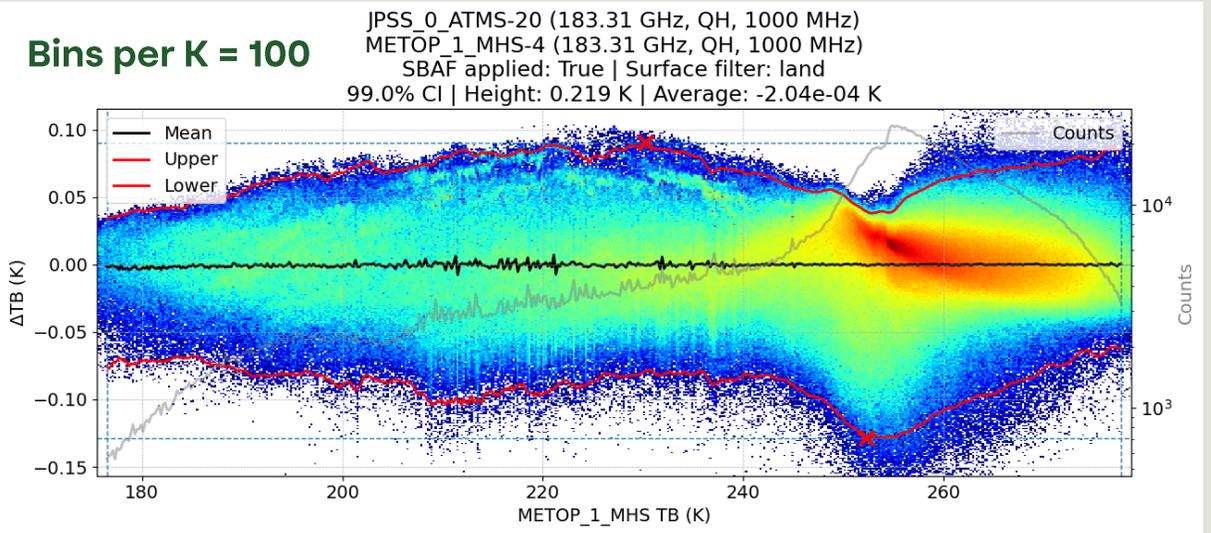
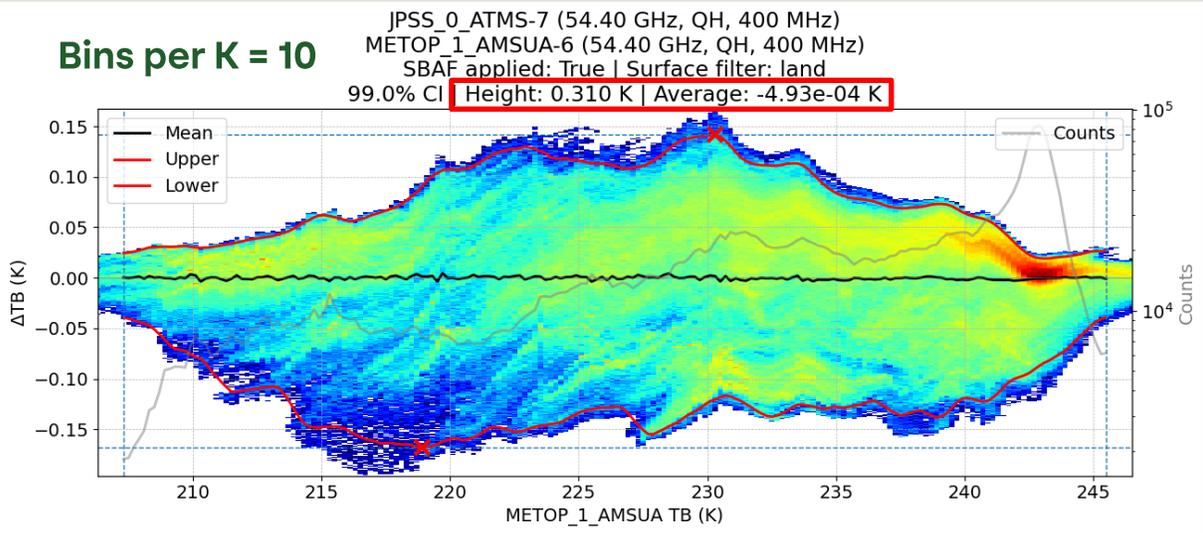
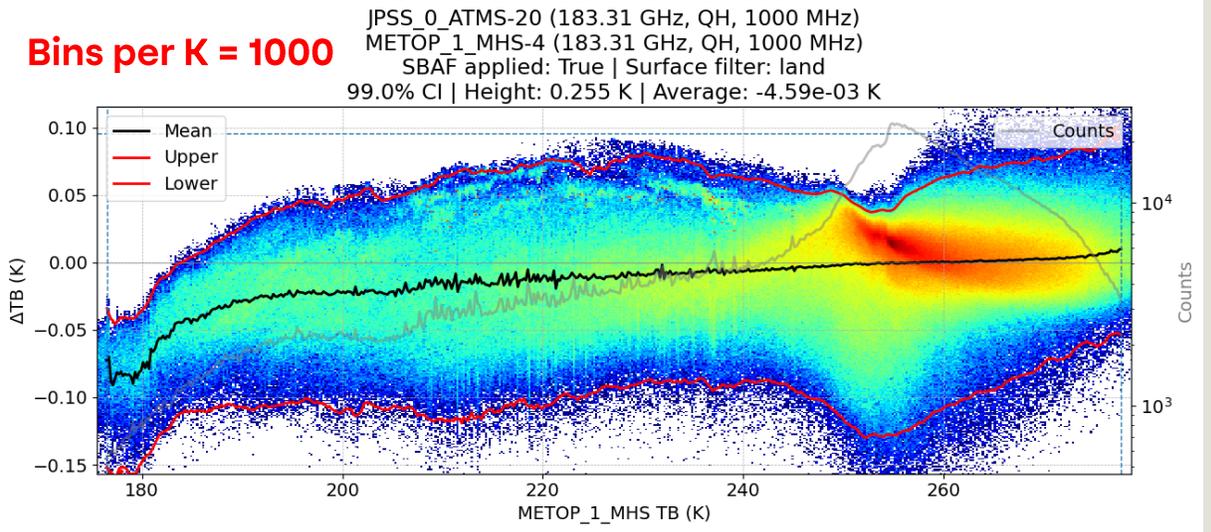
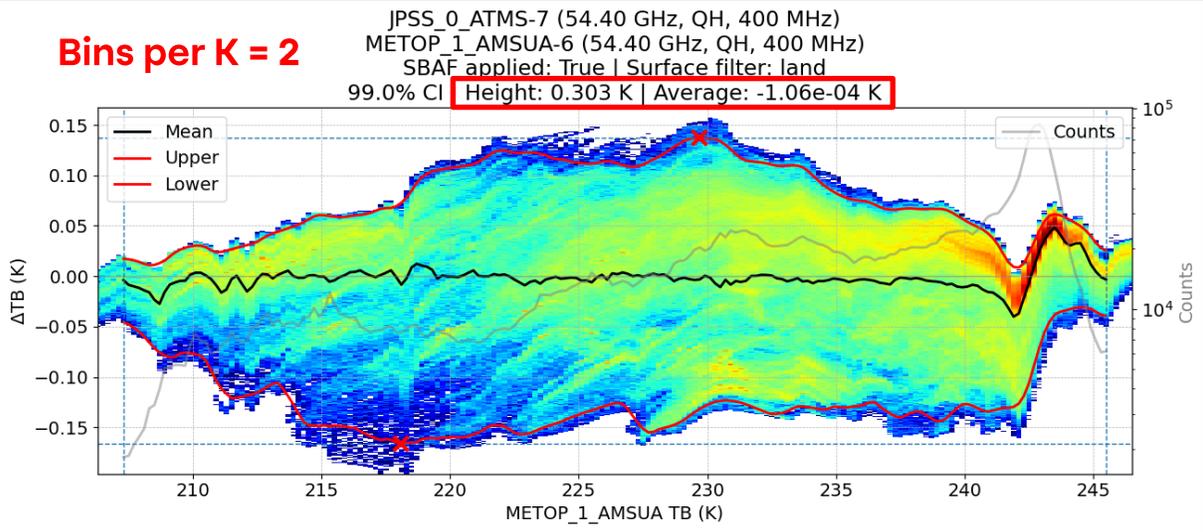


$$TB'_{mon} = \overbrace{CDF_{ref}^{-1}(CDF_{mon}(TB_{mon}))}^{SBAF}$$

$$\Rightarrow \mathbb{E}[TB'_{mon} - TB_{ref}] = 0$$

- TB (mon, ref) pairs decoupled. Only the distribution is used
- Monotonic non-decreasing (forced increasing)
- Parametric representation (piecewise linear interpolation)
- Number of ranges: 10/100 per K (more on this later)
- Number of parameters \ll number of samples
- Ambiguity: $tb_i^{mon} \cong tb_j^{mon}$ but $tb_i^{ref} \neq tb_j^{ref}$

CDF Matching: Fixed-size bins



Other discarded methods: No CDF matching

1. The SBAF as a linear regression model (slope and intercept)
 - Ordinary Least Squares (OLS), without and with Heteroscedasticity-Robust Covariance
 - Generalized least Squares (GLS)
 - Weighted Least Squares (WLS)
 - Robust Linear Models (RLM) with different windows (Huber's T, Andrew's Wave)
 - **Slightly reduced the spread** of the bias distribution
 - **Not satisfactory results** → the mean bias is not reduced enough

2. The SBAF as a Neural Network regression model
 - Excellent training and validation results with simulated data
 - **Catastrophic failure** when applied to real data

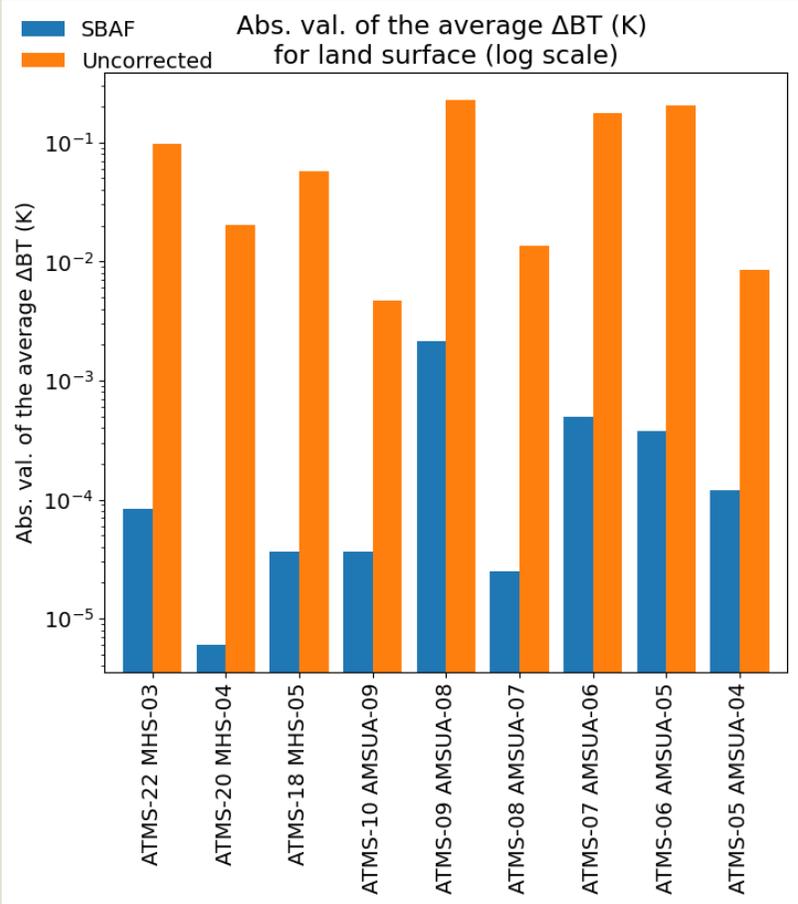
Other discarded methods: CDF matching

1. Empirical cumulative distribution function (ECDF)
 - **No bins required**
 - Number of parameters \approx number of collocations of the CDF matching dataset \rightarrow sampling problem \rightarrow **bins required**
 - If small CDF matching dataset is chosen \rightarrow Not robust (pointwise)

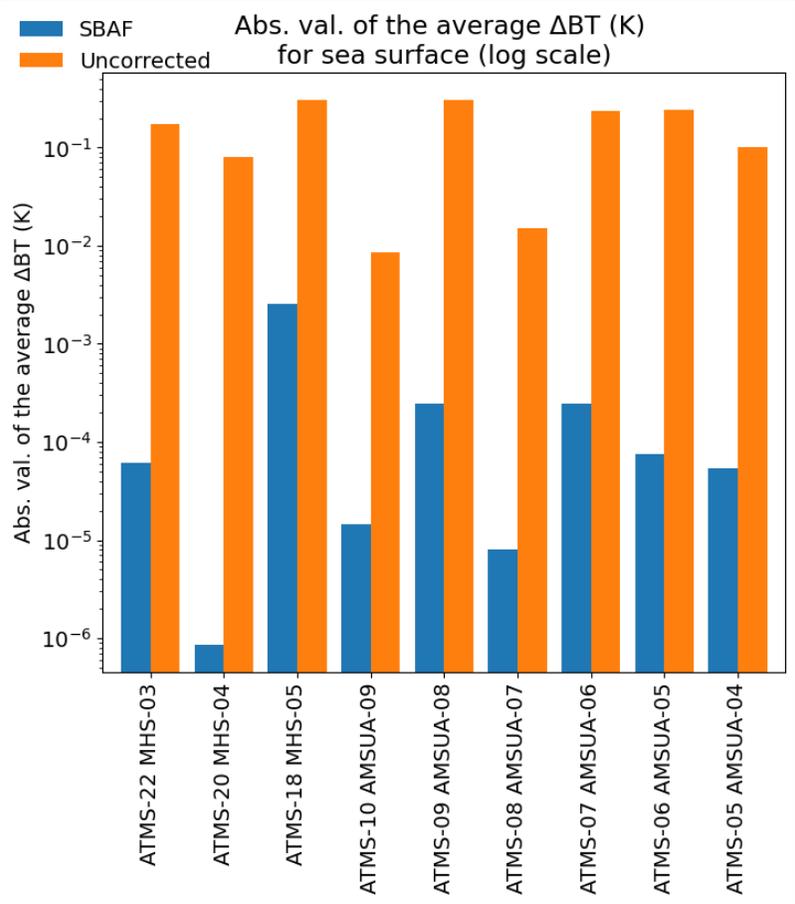
2. Constant probability
 - Equiprobable ranges
 - Denser distribution \rightarrow smaller ranges, and vice-versa
 - In most cases leads to **sensitivity when robustness is required** and vice-versa (empirical)

SBAF Errors Error Propagation

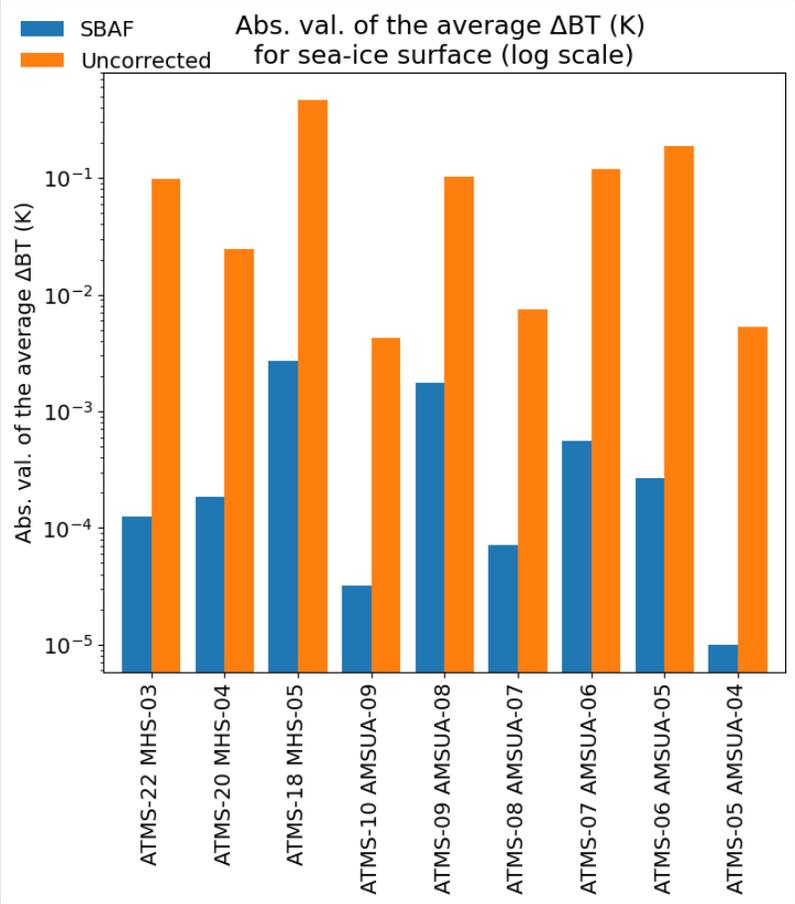
Errors: Results Summary I – $|\overline{\Delta TB}|$ per surface type



Land

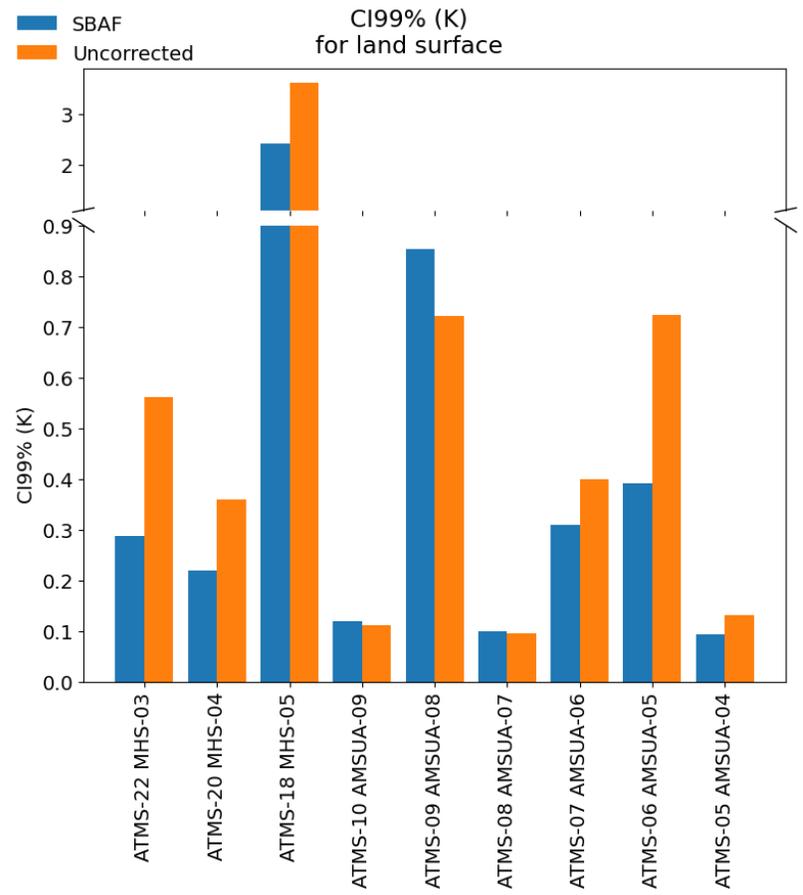


Sea

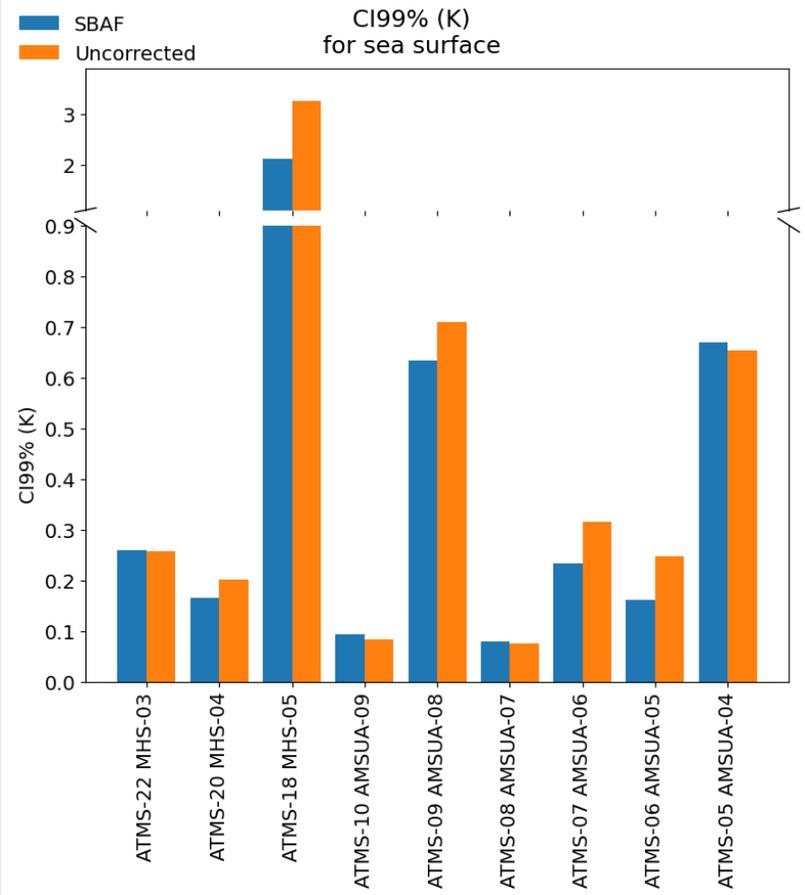


Sea-Ice

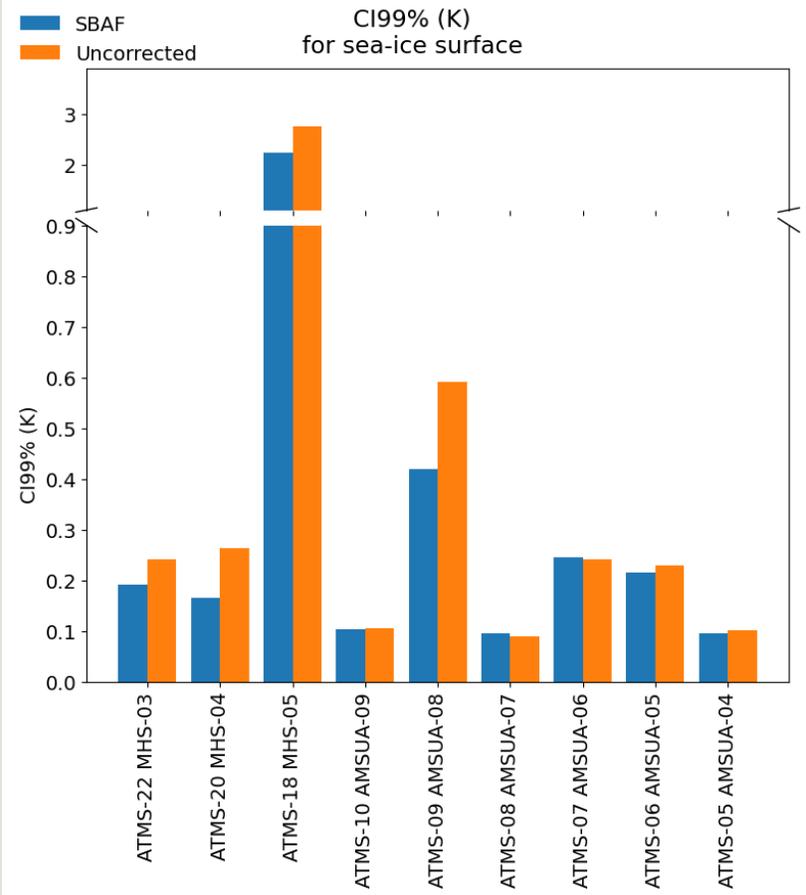
Errors: Results Summary II – CI99 per surface type



Land



Sea

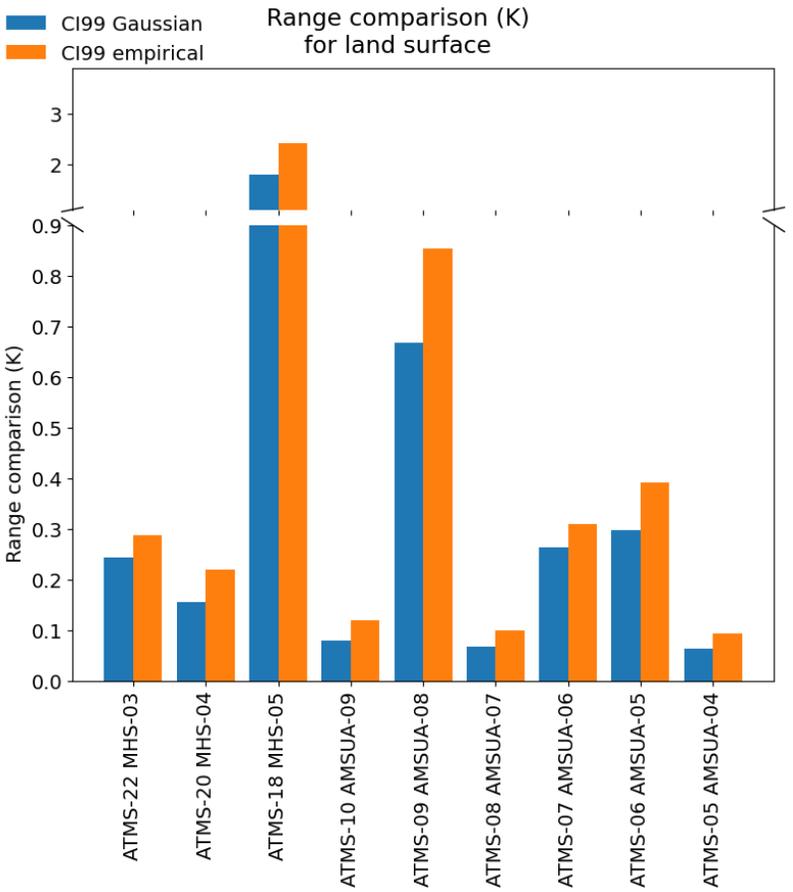


Sea-Ice

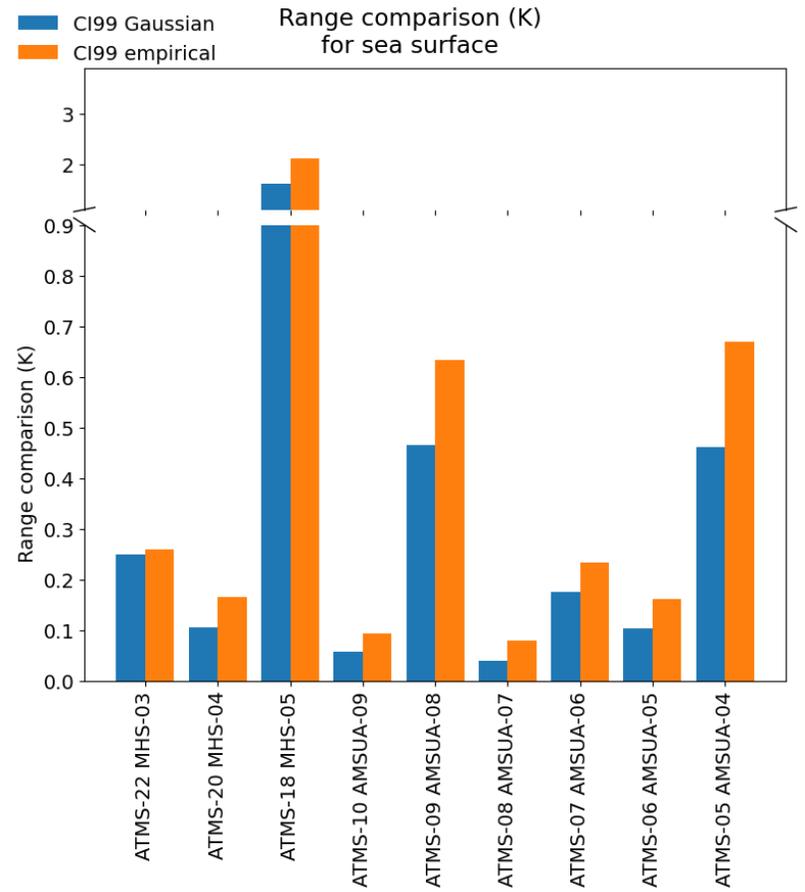
* If not negligible average, the CIs will require re-calculation for binwise zero mean

Errors: Results Summary III – Comparison with Gaussian

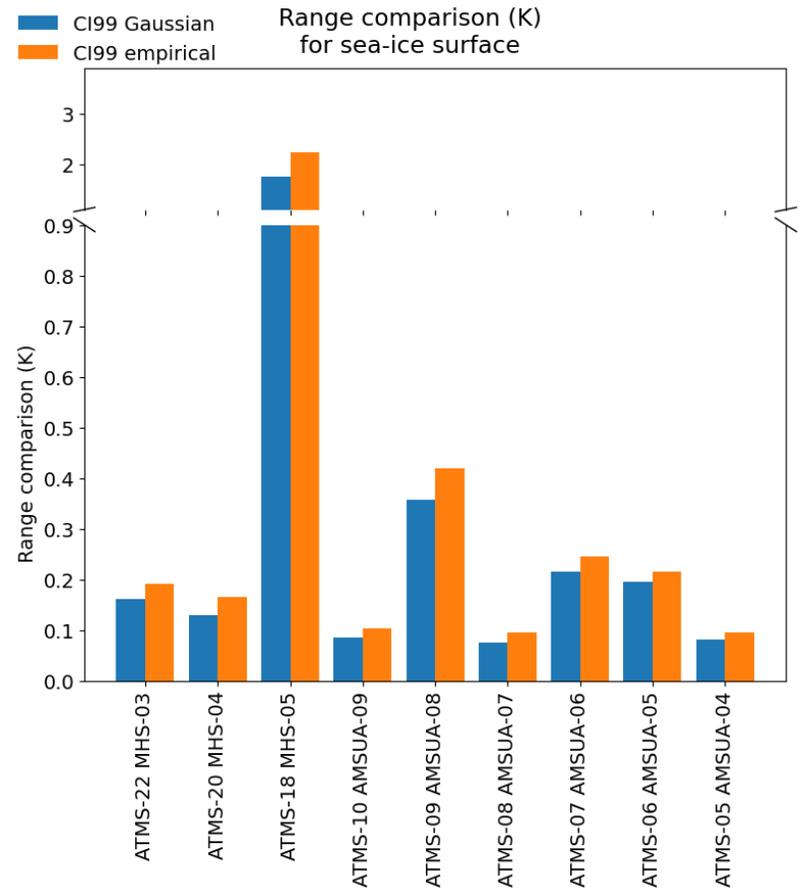
CI99 Gaussian: 2 x 2.576 x **global** standard deviation



Land

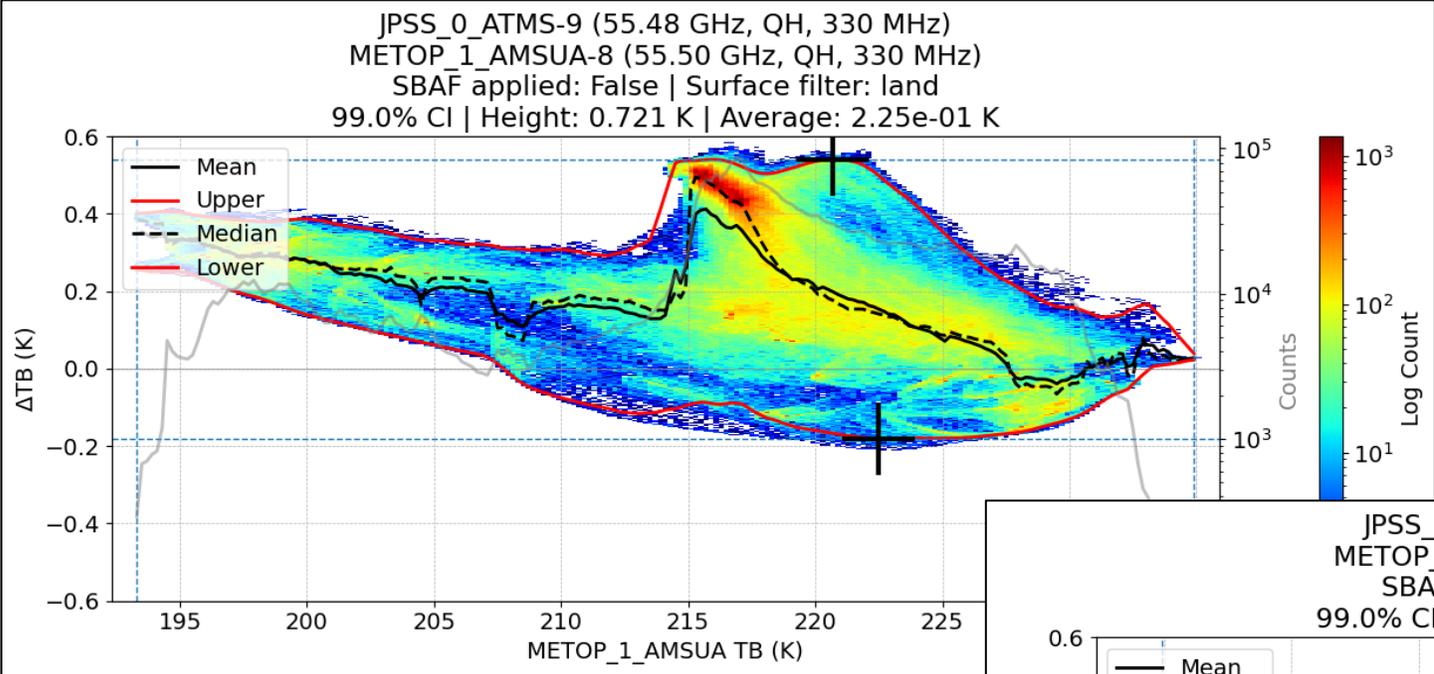


Sea



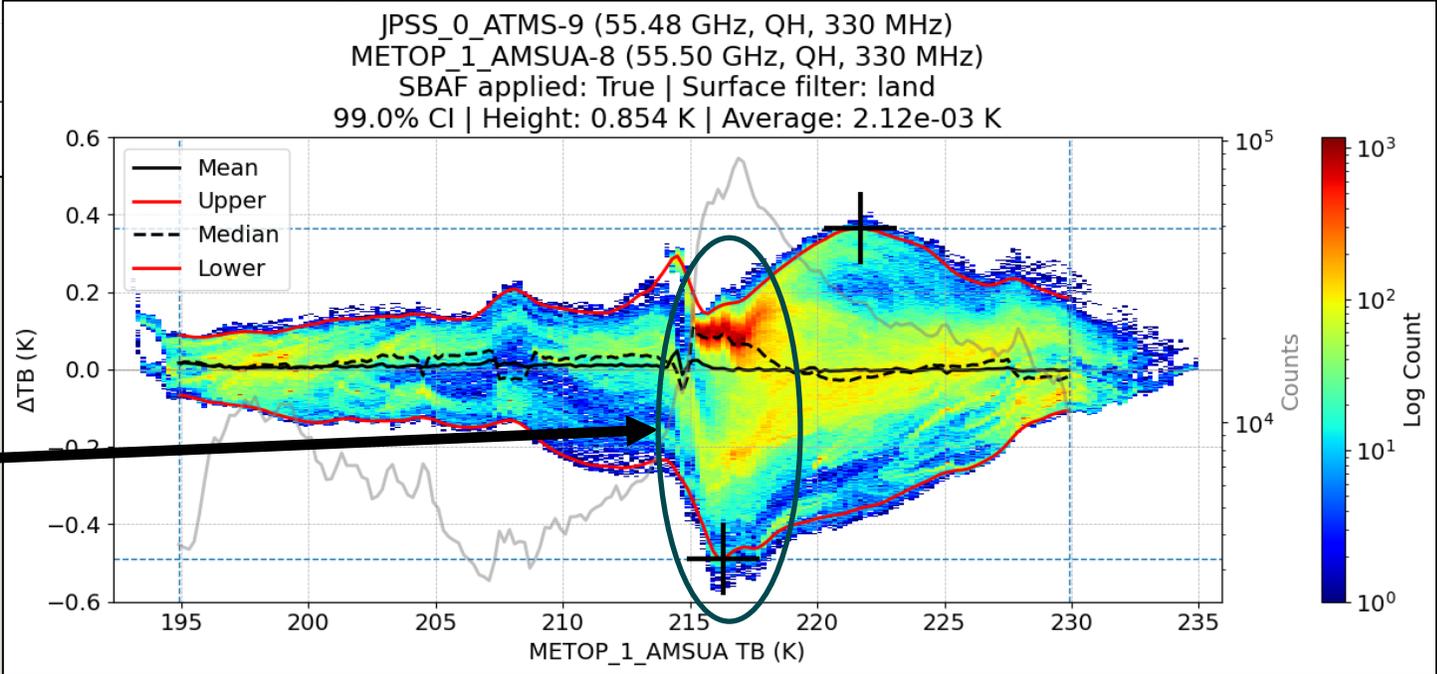
Sea-Ice

Errors: Average vs. CI trade-off



Original

- Average: $2.25 \times 10^{-1} \text{ K} \rightarrow 2.12 \times 10^{-3} \text{ K}$
- But CI 99%: $0.721 \text{ K} \rightarrow 0.854 \text{ K}$

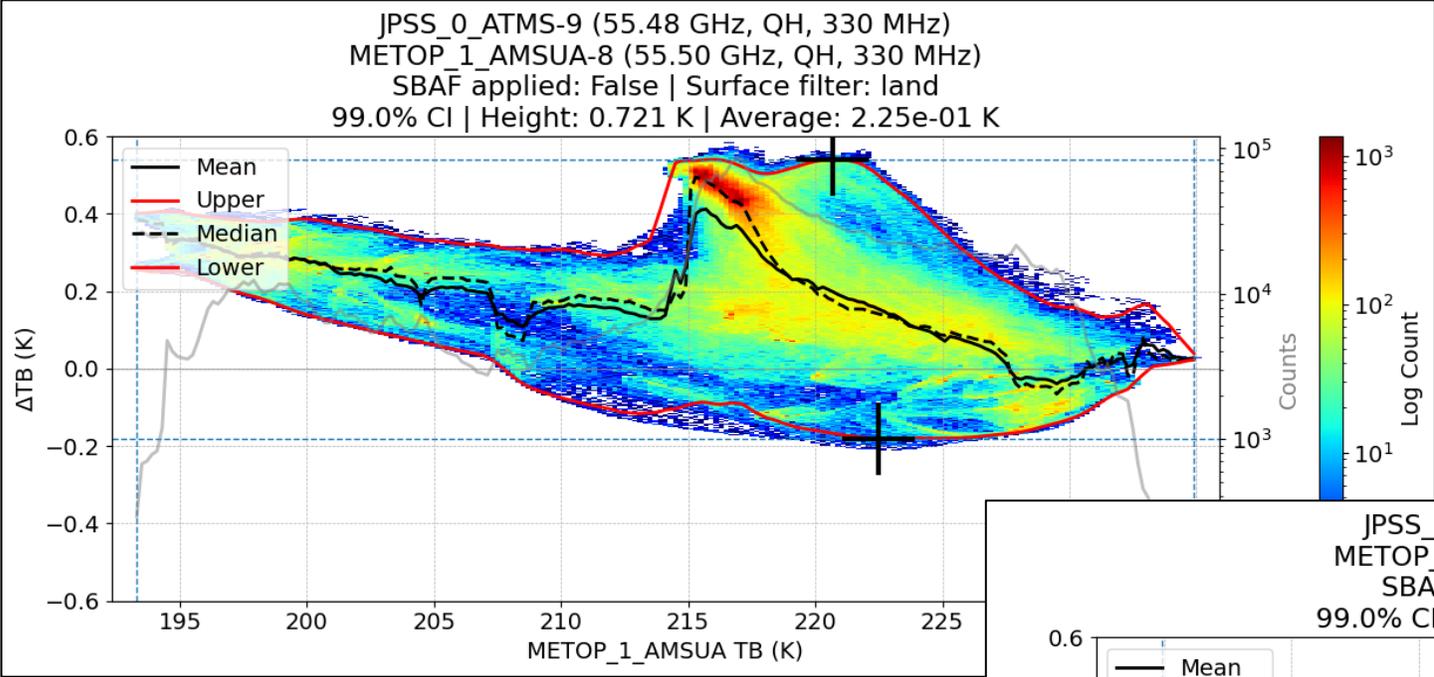


Adjusted

High-density cluster forces larger interval amplitude



Errors: Random and systematic



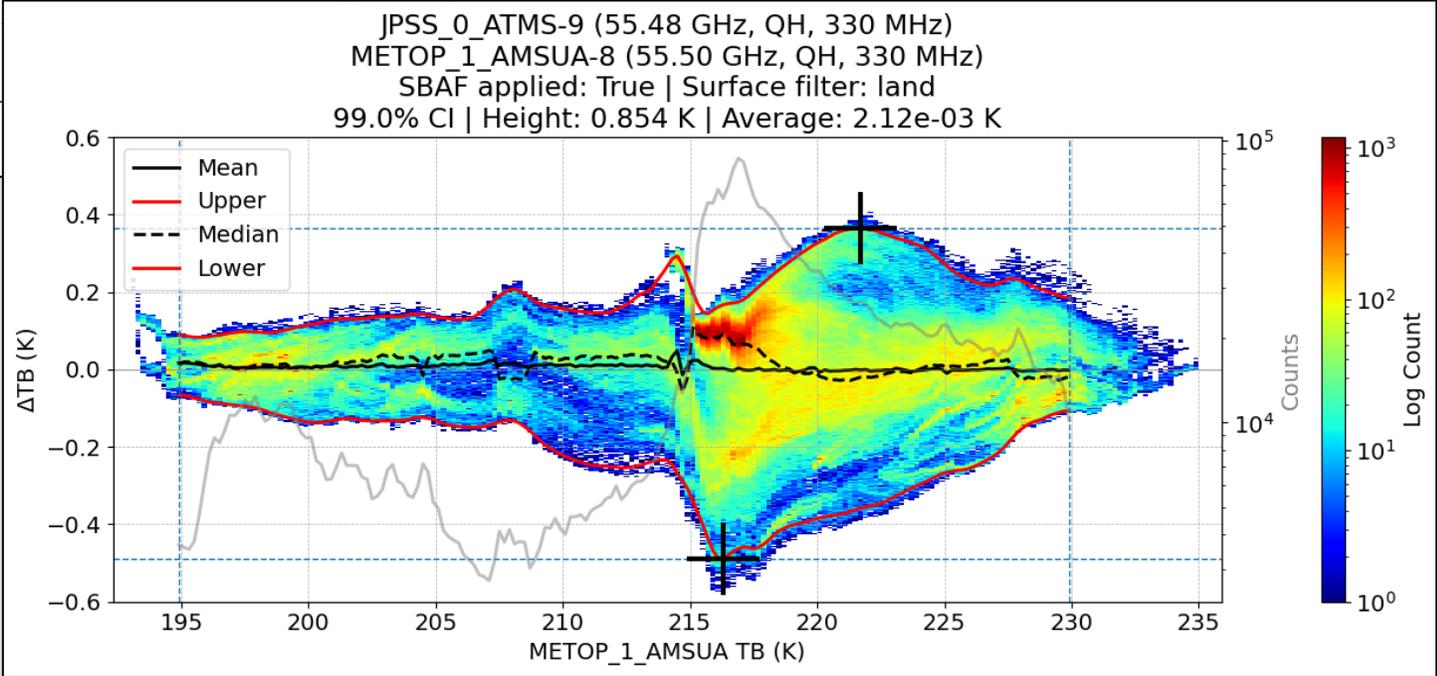
Original

In the cross-calibration context:

- The average is a **systematic** error contribution
- CI characterize the total residual variability (if avg << CI)

$SBAF_{\lambda}(BT_{mon_sensor})$ is a **new sensor** with its intrinsic:

- Systematic and random errors propagated from the monitored sensor
- Characterized bias and CI w.r.t. the reference sensor



Adjusted

Error Propagation: SBAF

$$\hat{tb}_{mon} = SBAF_{\lambda}(tb_{mon}) = \alpha_i + \beta_i tb_{mon}, \quad tb_{mon} \in [x_i, x_{i+1}), \quad i = 1, \dots, N$$

$$u(\hat{tb}_{mon}) = u(tb_{mon}) \sum_{i=1}^N \beta_i p_i$$

- Some errors of the physical sensor are not represented by the simulations (e.g., NE Δ T)
- They must be propagated to the modelled sensor through the SBAF
- The sensitivity is the weighted sum of the slopes of the piecewise linear elements of the SBAF
- The probability p_i is global and distributed along a year, sampled daily at 00:00 and 12:00 UTC
- Sun-synchronous platforms \rightarrow Daily cycle – longitude correlation?

Backup Slides

BO

RTTOV Simulations: Top hat vs real SRF

Top-hat vs. Real SRFs: Worst cases I

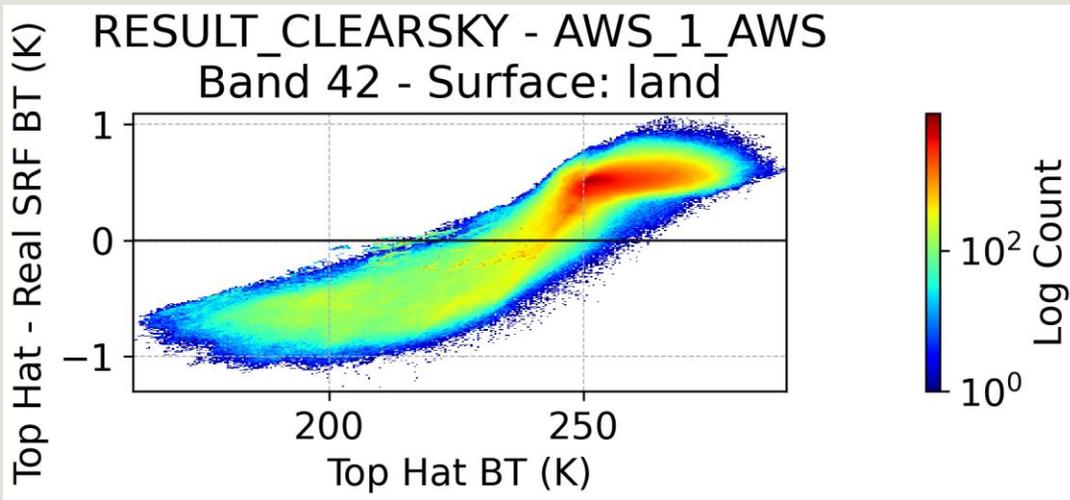
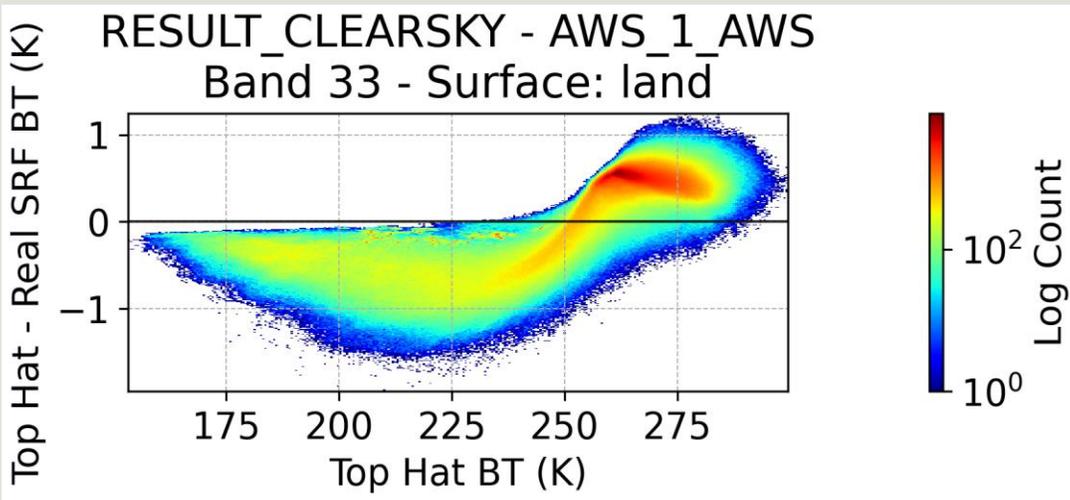
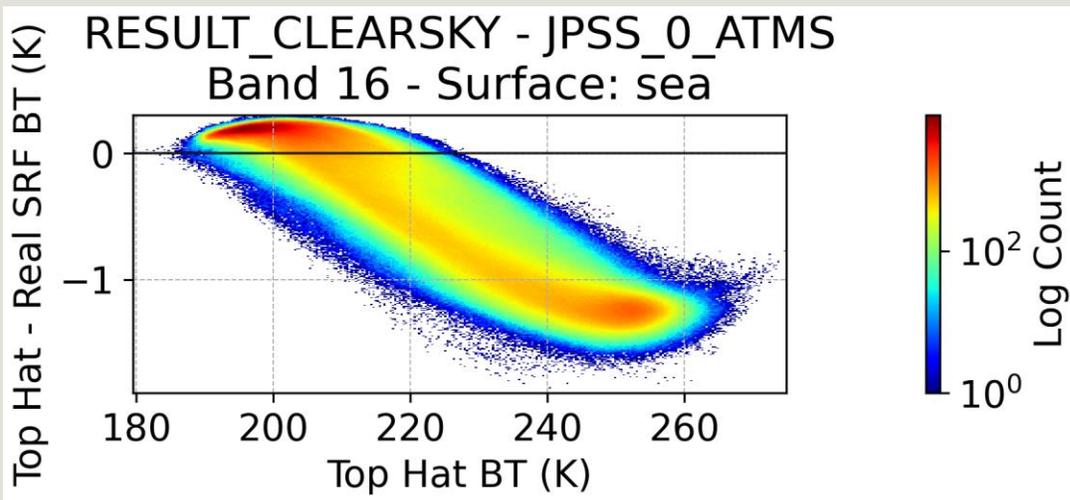
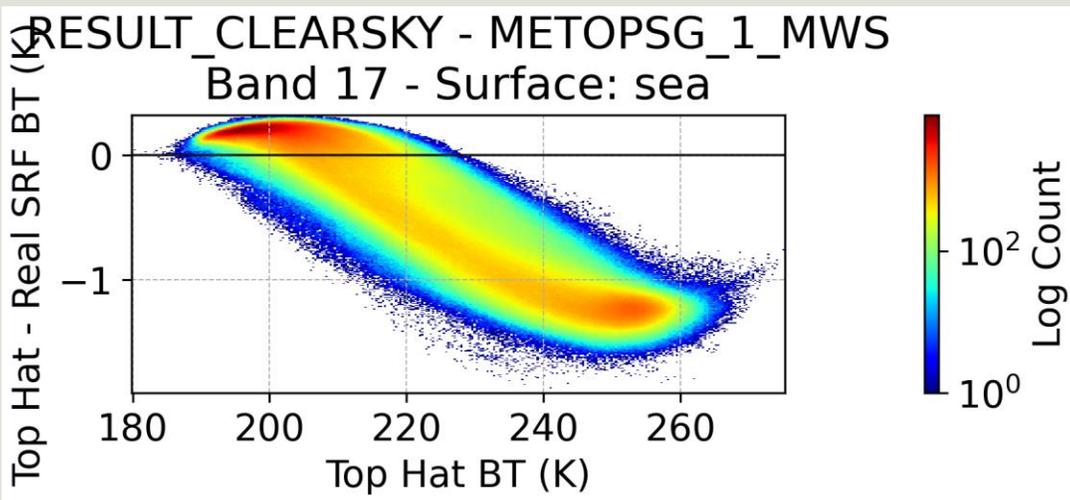
Clear sky simulations with filtered surface

Sensors and channels with residual standard deviation > 0.2 K

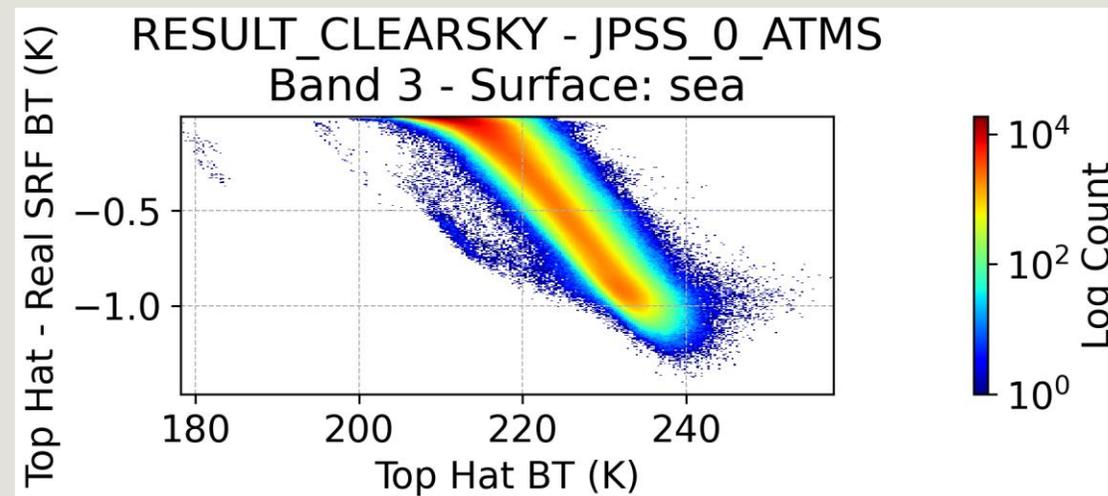
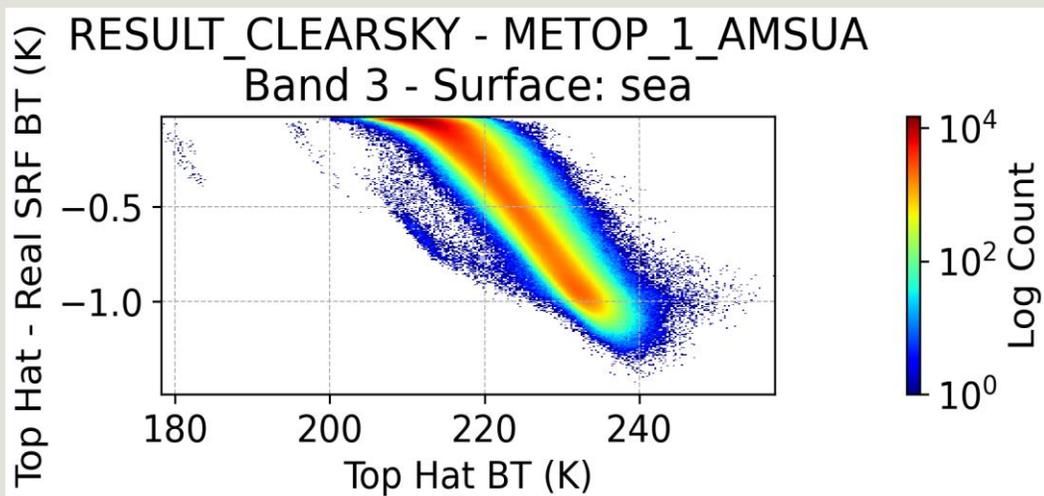
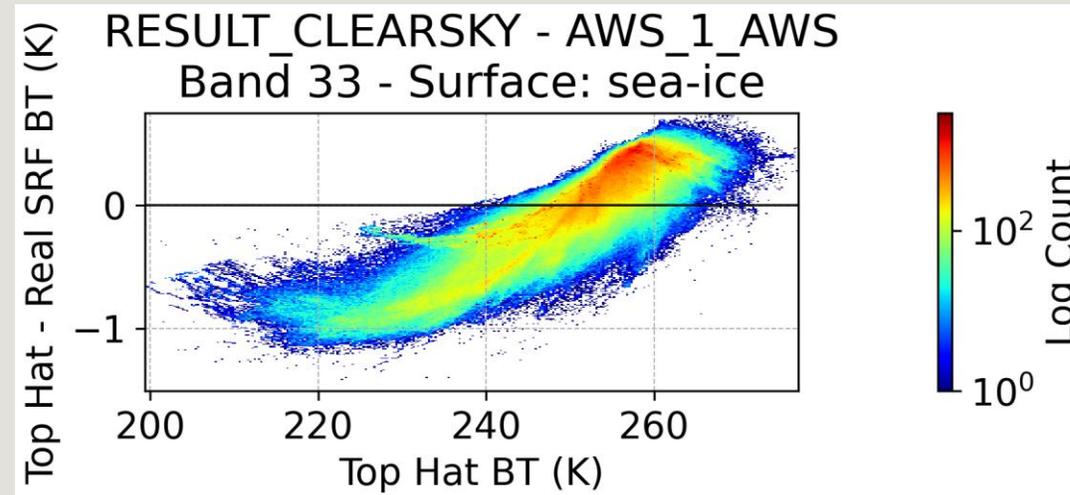
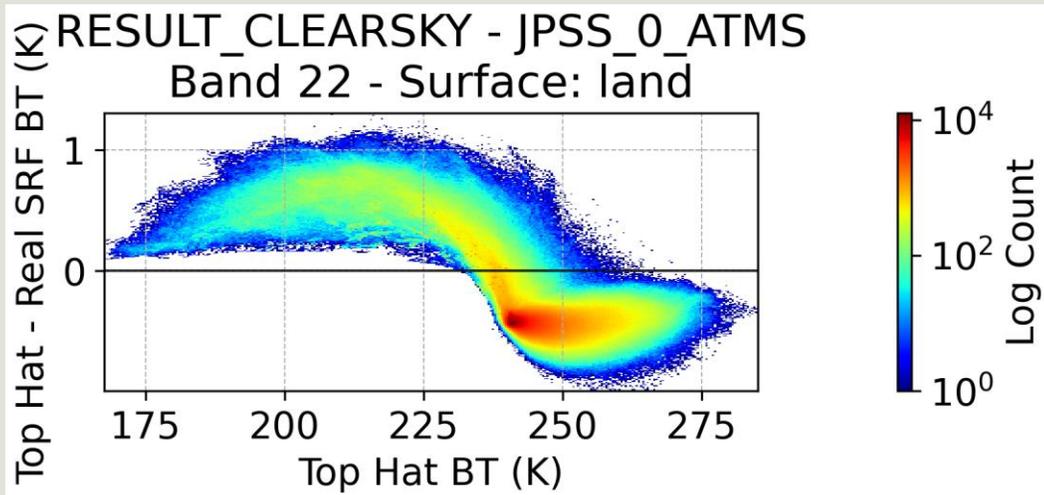
Only paired channels are shown

Sensor	Channel	Surface	TB residual statistics (K)				TB range (K)	
			min	max	mean	std	min	max
MWS	17	sea	-1.906	0.323	-0.412	0.568	179.8	275.2
ATMS	16	sea	-1.900	0.307	-0.418	0.565	179.5	274.8
AWS	33	land	-1.949	1.249	0.046	0.523	153.2	299.5
AWS	42	land	-1.303	1.097	0.240	0.420	161.4	289.9
ATMS	22	land	-0.997	1.310	-0.193	0.399	167.6	285.1
AWS	33	sea-ice	-1.507	0.746	-0.042	0.393	199.4	277.1
AMSUA	3	sea	-1.491	-0.020	-0.373	0.316	178.2	257.5
ATMS	3	sea	-1.468	-0.002	-0.345	0.316	178.1	258.0
MWS	3	sea	-1.480	-0.013	-0.360	0.316	178.1	258.0
MWS	21	land	-0.547	1.044	-0.019	0.256	156.3	295.1
AWS	44	land	-0.957	0.608	0.016	0.239	156.3	297.9
AWS	32	land	-1.101	0.581	-0.022	0.232	151.4	305.9
MWS	23	land	-0.454	0.978	-0.032	0.232	167.6	285.1

Top-hat vs. Real SRFs: Worst cases II

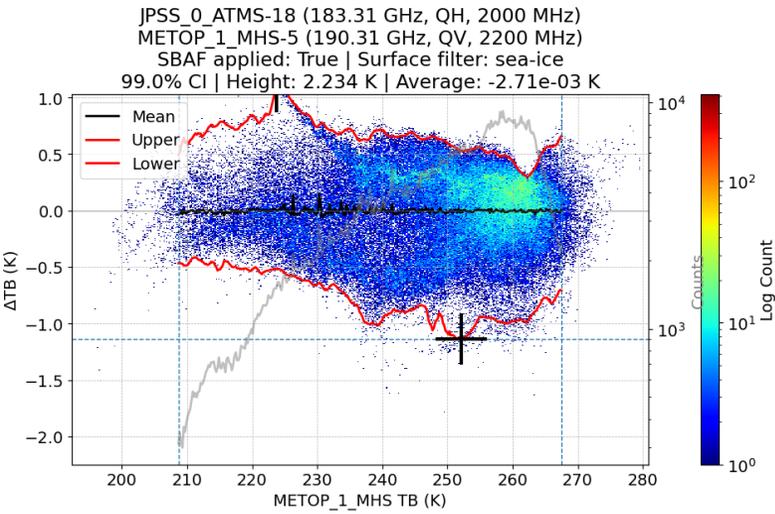
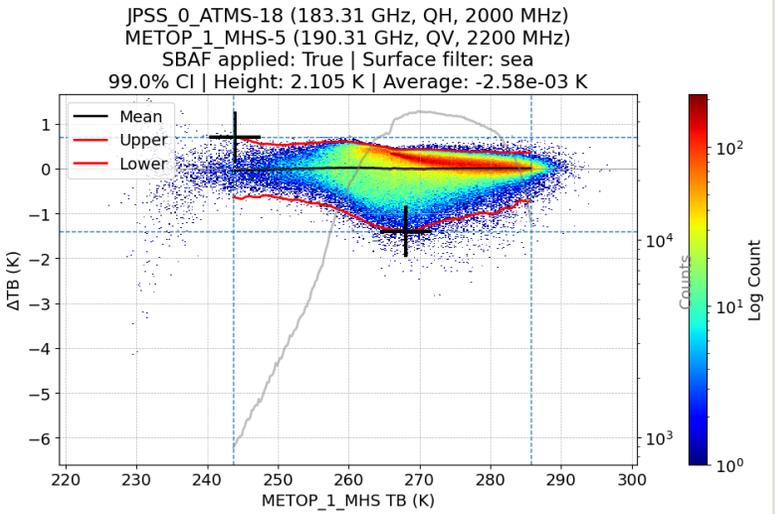
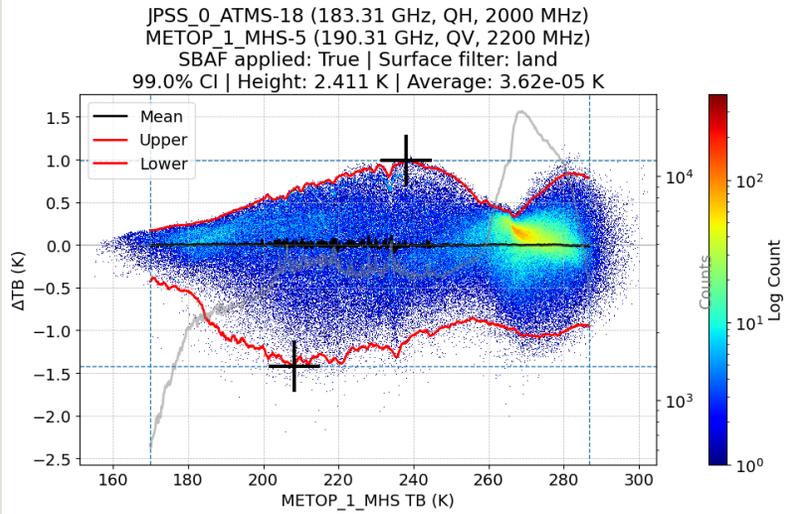
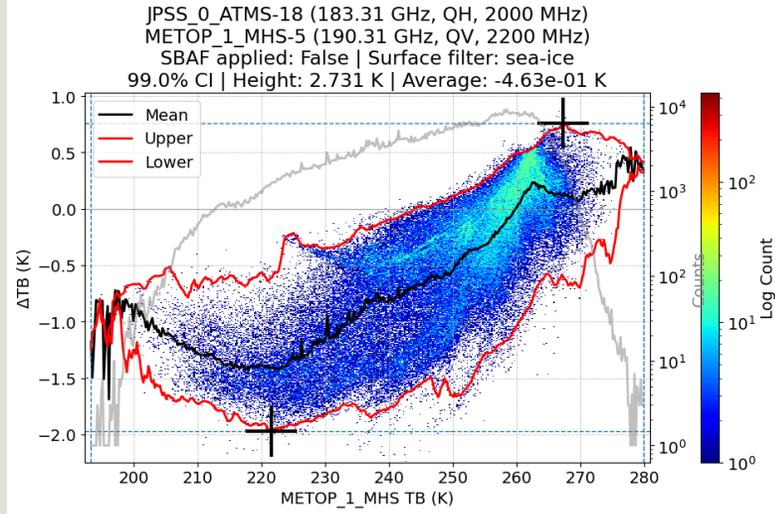
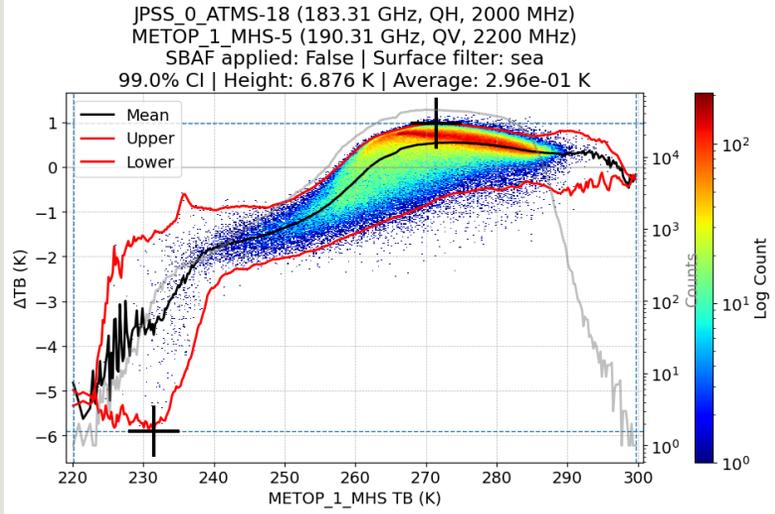
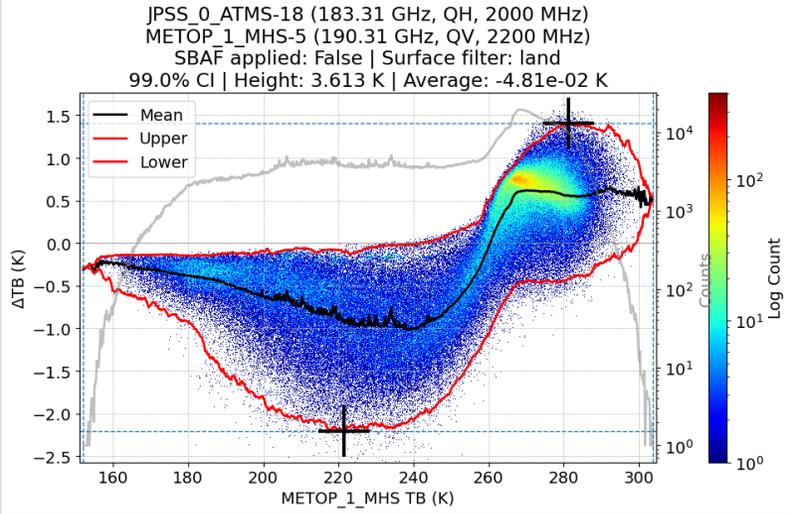


Top-hat vs. Real SRFs: Worst cases III



Extra Figures

SBAF: Results Summary – ATMS-18 & MHS-5

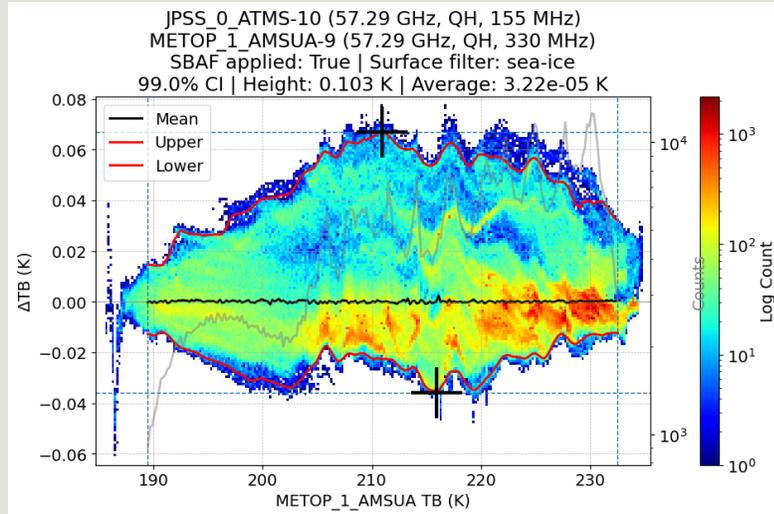
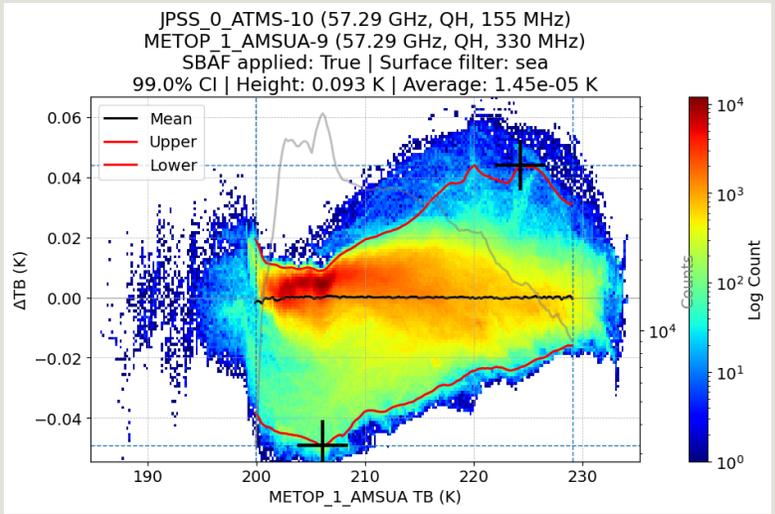
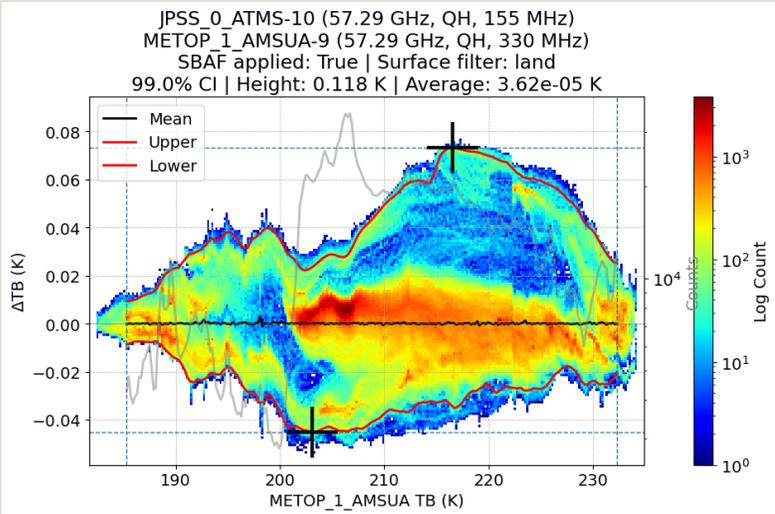
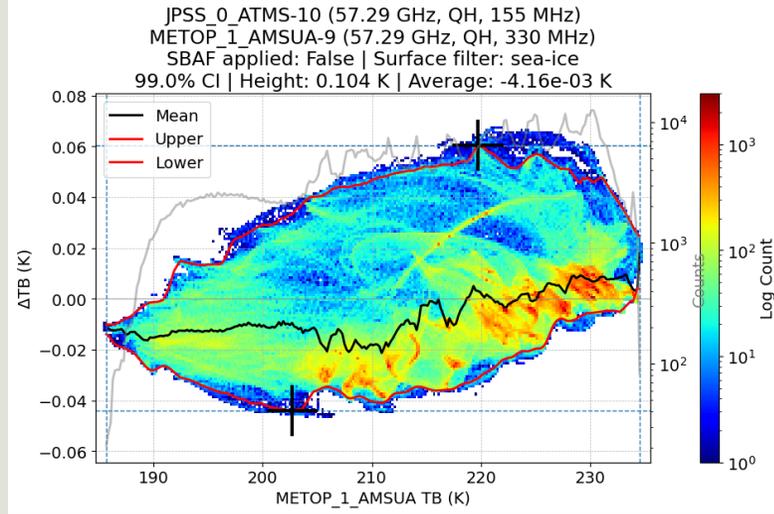
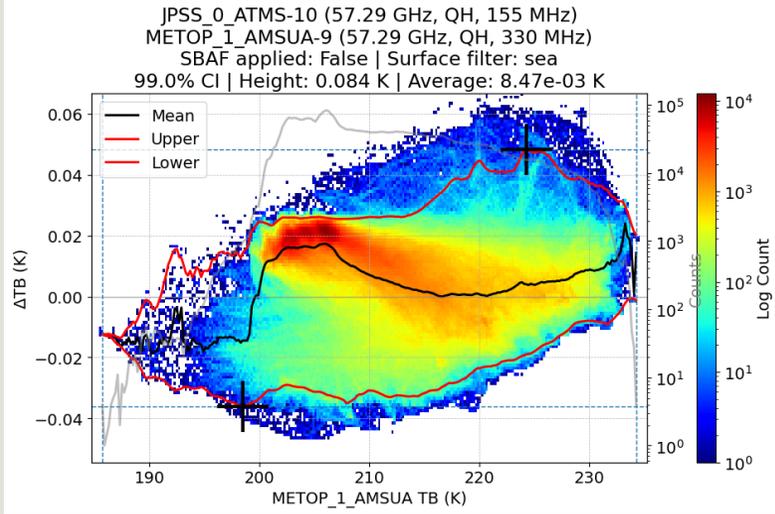
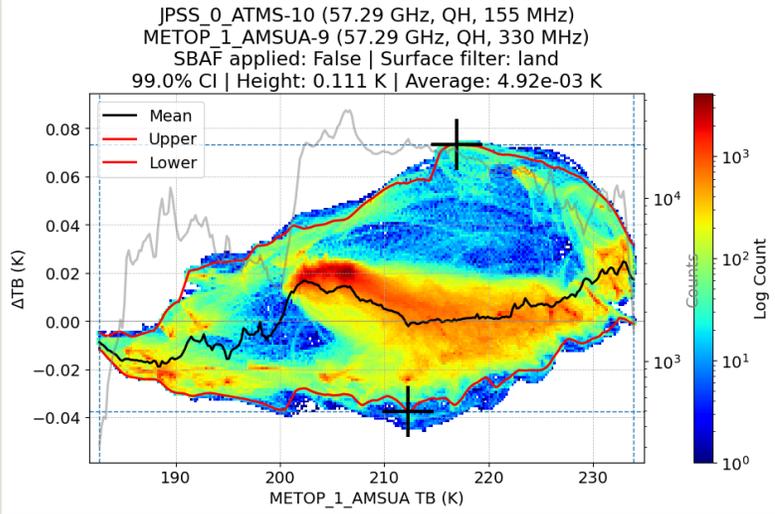


Land

Sea

Sea-Ice

SBAF: Results Summary – ATMS 10 & AMSU-A 9



Land

Sea

Sea-Ice